

FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

MTS-3326US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/089998
To be assigned

INTERNATIONAL APPLICATION NO.
PCT/JP00/06926

INTERNATIONAL FILING DATE
05 Oct 00 (05.10.00)

PRIORITY DATE CLAIMED
06 Oct 99 (06.10.99)

TITLE OF INVENTION

**LENS, OPTICAL HEAD, OPTICAL INFORMATION WRITING/READING APPARATUS AND OPTICAL
INFORMATION RECORDING MEDIUM WRITING/READING METHOD**

APPLICANT(S) FOR DO/EO/US

Hideki HAYASHI; Sadao MIZUNO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

English translation of International Search Report

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.101) 10/089998		INTERNATIONAL APPLICATION NO. PCT/JP00/06926		ATTORNEY'S DOCKET NUMBER MTS-3326US																					
21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY																					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$890.00																					
<table><tr><td>CLAIMS</td><td>NUMBER FILED</td><td>NUMBER EXTRA</td><td>RATE</td></tr><tr><td>Total claims</td><td>66 - 20 =</td><td>46</td><td>x \$18.00</td></tr><tr><td>Independent claims</td><td>5 - 3 =</td><td>2</td><td>x \$84.00</td></tr><tr><td colspan="3">Multiple Dependent Claims (check if applicable).</td><td><input checked="" type="checkbox"/></td></tr><tr><td colspan="3">TOTAL OF ABOVE CALCULATIONS</td><td>=</td></tr></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	66 - 20 =	46	x \$18.00	Independent claims	5 - 3 =	2	x \$84.00	Multiple Dependent Claims (check if applicable).			<input checked="" type="checkbox"/>	TOTAL OF ABOVE CALCULATIONS			=	\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																						
Total claims	66 - 20 =	46	x \$18.00																						
Independent claims	5 - 3 =	2	x \$84.00																						
Multiple Dependent Claims (check if applicable).			<input checked="" type="checkbox"/>																						
TOTAL OF ABOVE CALCULATIONS			=																						
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).				<input type="checkbox"/>																					
SUBTOTAL				= \$2,166.00																					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00																					
TOTAL NATIONAL FEE				= \$2,166.00																					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input type="checkbox"/>																					
TOTAL FEES ENCLOSED				= \$2,166.00																					
				Amount to be: refunded \$																					
				charged \$																					
<input checked="" type="checkbox"/> A check in the amount of \$2,166.00 to cover the above fees is enclosed.																									
<input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																									
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 18-0350 A duplicate copy of this sheet is enclosed.																									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																									
SEND ALL CORRESPONDENCE TO:																									
<div>Allan Ratner Ratner & Prestia P.O. Box 980 Valley Forge, PA 19482 Tel: (610) 407-0700</div> <div>SIGNATURE _____ Allan Ratner NAME _____ 19,717 REGISTRATION NUMBER _____ April 5, 2002 DATE _____</div>																									

JC15 Rec'd PCT/PTO 0 5 APR 2002

MTS-3326US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hideki Hayashi; Sadao Mizuno	: Art Unit:
Serial No.:	To be assigned	: Examiner:
Filed:	Herewith	
For:	LENS, OPTICAL HEAD, OPTICAL INFORMATION WRITING/READING APPARATUS AND OPTICAL INFORMATION RECORDING MEDIUM WRITING/READING METHOD	

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

S I R :

Prior to examination, please amend the above-identified application as follows:

SPECIFICATION:

After the title and before the first paragraph, please insert the following paragraph:

THIS APPLICATION IS A U.S. NATIONAL PHASE APPLICATION OF PCT
INTERNATIONAL APPLICATION PCT/JP00/06926.

Please replace the section, beginning at page 10, line 16 with the following:

One aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said convex lens comprises:

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Please replace the paragraph, beginning at page 11, line 16 with the following:

Another aspect of the present invention is the lens, characterized in that the phase of the luminous flux that passes through the innermost perimeter of said intermediate area is shifted with respect to the phase of the luminous flux that passes through the outermost perimeter of said central area.

Please replace the section, beginning at page 11, line 23 with the following:

Still another aspect of the present invention is the, characterized in that a shift of said phase is set so as to delay by an amount Δ that satisfies the following (Equation 1):

Please replace the section, beginning at page 12, line 6 with the following:

Yet still another aspect of the present invention is the lens, characterized in that said amount Δ is an amount that satisfies the following (Equation 2):

Please replace the section, beginning at page 12, line 13 with the following:

Still yet another aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said lens comprises:

Please replace the section, beginning at page 13, line 14 with the following:

A further aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said convex lens comprises:

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Please replace the section, beginning at page 14, line 14 with the following:

A still further aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said lens comprises:

Please replace the paragraph, beginning at page 15, line 15 with the following:

A yet further aspect of the present invention is the lens, characterized in that said phase shift substantially corresponds to one wavelength.

Please replace the paragraph, beginning at page 15, line 19 with the following:

A still yet further aspect of the present invention is the lens, characterized in that when the numerical aperture (hereinafter referred to as "NA") of all luminous fluxes that have passed through said lens is assumed to be (a), said NA of the boundary between said central area and said intermediate area is 0.6(a) to 0.8(a) and said NA of the boundary between said intermediate area and said peripheral area is 0.7(a) to 0.9(a).

Please replace the section, beginning at page 16, line 3 with the following:

An additional aspect of the present invention is the lens, characterized in that the thickness of said first optical information recording medium is substantially 0.6 mm and the thickness of said second optical information recording medium is substantially 1.2 mm, and

Please replace the paragraph, beginning at page 16, line 14 with the following:

A still additional aspect of the present invention is an optical head, characterized by comprising the lens and a photoreception element that receives reflected light from

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said first optical information recording medium or said second optical information recording medium and converts said reflected light to an electric signal.

Please replace the section, beginning at page 16, line 22 with the following:

A yet additional aspect of the present invention is an optical information recording medium writing/reading apparatus, characterized by comprising said optical head and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium, selectively reads information from said electric signal, wherein the apparatus,

Please replace the section, beginning at page 17, line 21 with the following:

A still yet additional aspect of the present invention is an optical information recording medium writing/reading method for, by using the optical head and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, converging luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal,

Please replace the section, beginning at page 18, line 20 with the following:

A supplementary aspect of the present invention is a convex lens for allowing luminous flux from a first light source to converge to a first optical information recording medium having a predetermined thickness and allowing luminous flux from a second light source having a wavelength different from the first light source to converge to a second optical information recording medium which is thicker than the first optical information recording medium, characterized in that said lens comprises:

Please replace the paragraph, beginning at page 19, line 22 with the following:

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A still supplementary aspect of the present invention is the lens, characterized in that the diffraction grating of the intermediate area, using diffracted light of the same order, forms luminous flux from the first light source into good wavefront with respect to the first optical information recording medium and forms luminous flux from the second light source into good wavefront with respect to the second optical information recording medium.

Please replace the paragraph, beginning at page 20, line 6 with the following:

A yet supplementary aspect of the present invention is the lens, characterized in that of the luminous flux converged onto the information recording surface of said second optical information recording medium from said second light source, the phase of the luminous flux that passes through said intermediate area is shifted with respect to the phase of the luminous flux that passes through said central area.

Please replace the section, beginning at page 20, line 14 with the following:

A still yet supplementary aspect of the present invention is the lens, characterized in that the shift of said phase is set so as to delay by an amount Δ that satisfies the following (Equation 1):

Please replace the section, beginning at page 20, line 21 with the following:

Another aspect of the present invention is the lens, characterized in that said amount Δ is an amount that satisfies the following (Equation 2):

Please replace the paragraph, beginning at page 21, line 3 with the following:

Still another aspect of the present invention is the lens, characterized in that wherein a diffraction grating is provided in the peripheral area far from said central axis.

Please replace the section, beginning at page 21, line 8 with the following:

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Yet still another aspect of the present invention is an optical head, characterized by comprising:

Please replace the section, beginning at page 21, line 17 with the following:

Still yet another aspect of the present invention is an optical information recording medium writing/reading apparatus, characterized by comprising said optical head and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, wherein the apparatus,

Please replace the section, beginning at page 22, line 17 with the following:

A further aspect of the present invention is an optical information recording medium writing/reading method for, by using an optical head and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, converging luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal, wherein

Please delete the section beginning at page 24, line 13.

Please delete the section beginning at page 24, line 15.

Please delete the section beginning at page 24, line 17.

Please replace the paragraph, beginning at page 32, line 10 with the following:

Furthermore, when the shape of the cutting tool is taken into account, even if the central area A1 and intermediate area A2 are contiguous, the bending angle of the metal

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mold surface is 180 degrees or less, which inevitably requires processing with a sharp cutting tool. For this reason, it is further desirable that the contiguous plane be outside the intermediate area A2, that is, that the boundary with the peripheral area A3 be contiguous.

Please replace the paragraph, beginning at page 32, line 18 with the following:

According to the design by the inventor, it has been found that by setting the corresponding base material thickness of the intermediate area A2 to 1.8 mm, NA of the outside of the intermediate area A2 to 0.45 and NA of the inside to 0.39, it is possible to eliminate level differences between the central area A2 and peripheral area A3 and change the phase in the center of the lens to close to ideal 300 degrees because of jitter reduction during a CD read.

Please replace the paragraph, beginning at page 33, line 3 with the following:

Such design requires a transition area to be provided on the boundary between the intermediate area A2 and central area A1, but it is a level that will not affect the quality of a read signal and it is now possible to manufacture metal molds capable of molding glass materials.

CLAIMS:

Please replace claims 11 and 20 with the following amended claims:

1 11. (As Amended) An optical head, characterized by comprising the lens
2 according to any one of claim 1 to claim 8 and a photoreception element that receives
3 reflected light from said first optical information recording medium or said second optical
4 information recording medium and converts said reflected light to an electric signal.

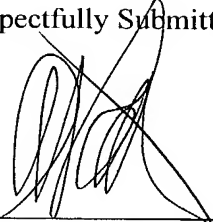
1 20. (As Amended) An optical head, characterized by comprising:
2 a lens according to any one of claims 14 to 18; and

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- 3 a photoreception element that receives reflected light from said first optical
4 information recording medium or said second optical information recording medium and
5 converts said reflected light to an electric signal.

Respectfully Submitted,



Allan Ratner, Reg. No. 19,717
Attorney for Applicants

AR/jam

Enclosures:

Version with markings to show changes made

Dated: April 5, 2002

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Assistant Commissioner for Patents is hereby
authorized to charge payment to Deposit Account
No. 18-0350 of any fees associated with this
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EV 050915412 US

Date of Deposit:

April 5, 2002

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Kathleen Libby

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VERSION WITH MARKINGS TO SHOW CHANGES MADESPECIFICATION:

After the title and before the first paragraph:

THIS APPLICATION IS A U.S. NATIONAL PHASE APPLICATION OF PCT
INTERNATIONAL APPLICATION PCT/JP00/06926.

Specification at page 10, line 16:

~~The 1st invention~~ One aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said convex lens comprises:

Specification at page 11, line 16:

~~The 2nd invention~~ Another aspect of the present invention is the lens ~~according to the 1st invention~~, characterized in that the phase of the luminous flux that passes through the innermost perimeter of said intermediate area is shifted with respect to the phase of the luminous flux that passes through the outermost perimeter of said central area.

Specification at page 11, line 23:

~~The 3rd invention~~ Still another aspect of the present invention is the lens ~~according to the 2nd invention~~, characterized in that a shift of said phase is set so as to delay by an amount Δ that satisfies the following (Equation 1):

Specification at page 12, line 6:

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~~The 4th invention~~ Yet still another aspect of the present invention is the lens according to the 3rd invention, characterized in that said amount Δ is an amount that satisfies the following (Equation 2):

Specification at page 12, line 13:

~~The 5th invention~~ Still yet another aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said lens comprises:

Specification at page 13, line 14:

~~The 6th invention~~ A further aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said convex lens comprises:

Specification at page 14, line 14:

~~The 7th invention~~ A still further aspect of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said lens comprises:

Specification at page 15, line 15:

~~The 8th invention~~ A yet further aspect of the present invention is the lens according to the 7th invention, characterized in that said phase shift substantially corresponds to one wavelength.

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Specification at page 15, line 19:

~~The 9th invention~~ A still yet further aspect of the present invention is the lens ~~according to any one of the 1st to 8th inventions~~, characterized in that when the numerical aperture (hereinafter referred to as "NA") of all luminous fluxes that have passed through said lens is assumed to be (a), said NA of the boundary between said central area and said intermediate area is 0.6(a) to 0.8(a) and said NA of the boundary between said intermediate area and said peripheral area is 0.7(a) to 0.9(a).

Specification at page 16, line 3:

~~The 10th invention~~ An additional aspect of the present invention is the lens ~~according to the 5th invention~~, characterized in that the thickness of said first optical information recording medium is substantially 0.6 mm and the thickness of said second optical information recording medium is substantially 1.2 mm, and

Specification at page 16, line 14:

~~The 11th invention~~ A still additional aspect of the present invention is an optical head, characterized by comprising the lens ~~according to any one of the 1st to the 10th inventions~~ and a photoreception element that receives reflected light from said first optical information recording medium or said second optical information recording medium and converts said reflected light to an electric signal.

Specification at page 16, line 22:

~~The 12th invention~~ A yet additional aspect of the present invention is an optical information recording medium writing/reading apparatus, characterized by comprising said optical head ~~according to the 11th invention~~ and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium, selectively reads information from said electric signal, wherein the apparatus,

Specification at page 17, line 21:

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~~The 13th invention~~ A still yet additional aspect of the present invention is an optical information recording medium writing/reading method for, by using the optical head ~~according to the 11th invention~~ and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, converging luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal,

Specification at page 18, line 20:

~~The 14th invention~~ A supplementary aspect of the present invention is a convex lens for allowing luminous flux from a first light source to converge to a first optical information recording medium having a predetermined thickness and allowing luminous flux from a second light source having a wavelength different from the first light source to converge to a second optical information recording medium which is thicker than the first optical information recording medium, characterized in that said lens comprises:

Specification at page 19, line 22:

~~The 15th invention~~ A still supplementary aspect of the present invention is the lens ~~according to the 14th invention~~, characterized in that the diffraction grating of the intermediate area, using diffracted light of the same order, forms luminous flux from the first light source into good wavefront with respect to the first optical information recording medium and forms luminous flux from the second light source into good wavefront with respect to the second optical information recording medium.

Specification at page 20, line 6:

~~The 16th invention~~ A yet supplementary aspect of the present invention is the lens ~~according to the 15th invention~~, characterized in that of the luminous flux converged onto the information recording surface of said second optical information recording medium

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from said second light source, the phase of the luminous flux that passes through said intermediate area is shifted with respect to the phase of the luminous flux that passes through said central area.

Specification at page 20, line 14:

~~The 17th invention~~ A still yet supplementary aspect of the present invention is the lens according to the 16th invention, characterized in that the shift of said phase is set so as to delay by an amount Δ that satisfies the following (Equation 1):

Specification at page 20, line 21:

~~The 18th invention~~ Another aspect of the present invention is the lens according to the 17th invention, characterized in that said amount Δ is an amount that satisfies the following (Equation 2):

Specification at page 21, line 3:

~~The 19th invention~~ Still another aspect of the present invention is the lens according to any one of the 14th to 18th inventions, characterized in that wherein a diffraction grating is provided in the peripheral area far from said central axis.

Specification at page 21, line 8:

~~The 20th invention~~ Yet still another aspect of the present invention is an optical head, characterized by comprising:

a lens ~~according to any one of claims 14 to 19~~; and

Specification at page 21, line 17:

~~The 21st invention~~ Still yet another aspect of the present invention is an optical information recording medium writing/reading apparatus, characterized by comprising said optical head ~~according to the 20th invention~~ and a circuit that distinguishes said first

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optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, wherein the apparatus,

Specification at page 22, line 17:

~~The 22nd invention~~ A further aspect of the present invention is an optical information recording medium writing/reading method for, by using an optical head according to the 21st invention and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, converging luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal, wherein

Specification at page 24, line 13:

~~Figure 7 illustrates a wavefront on the disk during reading of the DVD or CD according to Embodiment 3;~~

Specification at page 24, line 15:

~~Figure 8 illustrates a configuration of an optical system of a conventional optical head;~~

Specification at page 24, line 17:

~~Figure 9 illustrates an objective lens of the conventional optical head;~~

Specification at page 32, line 10:

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Furthermore, when the shape of the cutting tool is taken into account, even if the central area A1 and ~~central intermediate~~ area A2 are contiguous, the bending angle of the metal mold surface is 180 degrees or less, which inevitably requires processing with a sharp cutting tool. For this reason, it is further desirable that the contiguous plane be outside the ~~central intermediate~~ area A2, that is, that the boundary with the peripheral area A3 be contiguous.

Specification at page 32, line 18:

According to the design by the inventor, it has been found that by setting the corresponding base material thickness of the ~~central intermediate~~ area A2 to 1.8 mm, NA of the outside of the ~~central intermediate~~ area A2 to 0.45 and NA of the inside to 0.39, it is possible to eliminate level differences between the central area A2 and peripheral area A3 and change the phase in the center of the lens to close to ideal 300 degrees because of jitter reduction during a CD read.

Specification at page 33, line 3:

Such design requires a transition area to be provided on the boundary between the ~~central intermediate~~ area A2 and central area A1, but it is a level that will not affect the quality of a read signal and it is now possible to manufacture metal molds capable of molding glass materials.

CLAIMS:

1 11. (As Amended) An optical head, characterized by comprising the lens
2 according to any one of claim 1 to claim ~~10~~8 and a photoreception element that receives
3 reflected light from said first optical information recording medium or said second optical
4 information recording medium and converts said reflected light to an electric signal.

1 20. (As Amended) An optical head, characterized by comprising:

2 a lens according to any one of claims 14 to ~~19~~18; and

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- 3 a photoreception element that receives reflected light from said first optical
- 4 information recording medium or said second optical information recording medium and
- 5 converts said reflected light to an electric signal.

22/PRTS

- 1 -

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10/089998

JC15 Rec'd PCT/PTO 05 APR 2002

DESCRIPTION

LENS, OPTICAL HEAD, OPTICAL INFORMATION WRITING/READING
APPARATUS AND OPTICAL INFORMATION RECORDING MEDIUM
WRITING/READING METHOD

TECHNICAL FIELD

The present invention relates to a lens, an optical head, an optical disk writing/reading apparatus and an optical disk writing/reading method.

BACKGROUND ART

An optical memory technology using optical disks as high density, high capacity storage media with pit-shaped patterns, is widening its applicability to digital audio disks, video disks, document file disks and data files, etc.

According to this optical memory technology, information is recorded onto and reproduced from an optical disk via micro-focused light beams with high accuracy and reliability. This writing/reading operation solely depends on its optical system.

The basic functions of the optical head, which is a major component of the optical system, are broadly grouped under convergence that forms micro spots of

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diffraction limits, focus control and tracking control of the above-described optical system, and detection of pit signals. These functions are provided by combining various optical systems and photoelectric transfer detection systems according to the purpose and application.

On the other hand, a high density, high capacity optical disk called "DVD" is put to practical use and stepping into a limelight as an information medium capable of handling large capacity information such as moving images in recent years. Compared to a compact disk (hereinafter, abbreviated as "CD"), which is a conventional optical disk, this DVD optical disk reduces a pit size on the information recording surface to increase recording density.

Thus, the optical head for writing/reading a DVD optical disk is different from that for a CD in a wavelength of a light source which determines a spot diameter and numerical aperture (hereinafter abbreviated as "NA") of a converging lens. By the way, while the wavelength of the light source of the CD is $0.78\text{ }\mu\text{m}$ and NA is approximately 0.45, the wavelength of the light source of the DVD optical disk is approximately $0.63\text{ }\mu\text{m}$ to $0.65\text{ }\mu\text{m}$ and NA is approximately 0.6.

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Thus, writing/reading two types of optical disks, CD and DVD optical disks using a single optical disk drive requires an optical head provided with two optical systems.

On the other hand, in order to meet requirements of miniaturization, slimming and cost reduction of the optical head, the CD and DVD optical systems tend to share as many parts as possible with each other. For example, a method that two types of converging lens may be used for the DVD optical disk and for CD separately using the light source for DVD for both systems, a method that a converging lens may also be shared by making NA mechanically or optically changeable to provide greater NA for the DVD optical disk and smaller NA for the CD, or the like is adopted.

For example, as disclosed in Japanese Patent Laid-Open No. 9-219035, a method of providing compatibility between DVD and CD by optimizing a part of a converging lens which is optimized for DVD to the thickness of a CD base material in ring zone form is proposed. Of the above-described optical heads, the above-described method will be explained below with reference to the attached drawings.

Figure 8 shows a configuration of an optical system of the optical head disclosed in Japanese Patent Laid-Open

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No. 9-219035. In the case of a general optical apparatus as illustrated here, an objective lens 23 is provided on an optical path between a disk 7 and optical detector 4 and a light source 1 is placed on an optical path branched from a beam splitter 5. In the optical apparatus of the above-described invention, the objective lens 23 has a characteristic shape as shown in Figure 9(a) and (b).

A special part (part which is different from a normal lens and is an intermediate area separating a peripheral area which will be described later from a central area) is provided on at least one of the plane of incidence or plane of outgoing radiation of the objective lens 23. This special part is a doughnut-shaped or ring-shaped intermediate area A2 having a smaller outer diameter than the overall effective diameter of the optical path area. A central area A1 is provided inside the intermediate area A2 and a peripheral area A3 is provided outside the intermediate area A2. The curvature of the above-described central area A1 and peripheral area A3 is optimized with respect to a thin DVD (digital video disk) 7a and the curvature of the above-described central area A2 is optimized with respect to a thick CD7b (compact disk).

Then, it is desirable that the above-described optical detector 4 be designed so that the light from

the peripheral area corresponding to the far-axis area does not reach when information is reproduced from a thick disk, that is, the light reaches only the central area A1 and intermediate area A2 of the objective lens. Therefore, as indicated with dotted lines in Figure 9(b), when the thick CD7b is written or read, the light in the central area A1 and intermediate area A2 converges to the CD7b. In this case, even if the curvature of the central area A1 corresponding to the near-axis area is optimized to the thin DVD7a, the near-axis light close to the central axis of the lens passes, which causes less spherical aberration.

Then, when the DVD7a is written or read, the light passes through the central area A1 and peripheral area A3 having the curvature optimized to the thin disk to form a focus on the information surface of the thin disk 7a.

If the numerical aperture of the areas corresponding to the central area and intermediate area of the above-described objective lens 23 is reduced to 0.4 or smaller, it is also possible to form a small spot for a thick disk and thereby form a spot of a size optimized to the CD disk.

However, when a CD optical disk is written or read, the above-described conventional configuration has a

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problem that jitter (a value indicating variation of the time axis) increases considerably depending on the phase position of the central area. That is, the problem is that because of lens manufacturing errors, constraints or improvement of the performance except jitter (e.g., degree of matching between the 0 level of a focus error signal and minimum jitter focus position), it is difficult to secure an appropriate jitter value when the phase position of the above-described intermediate area changes.

Furthermore, in the case of an objective lens provided with a ring zone of the conventional special section, there may be considerable level differences at the edge of the ring zone and it is difficult to perform molding using a glass press lens with high temperature stability. For this reason, molding is performed solely using a plastic lens and it is therefore necessary to create the lens and design the optical head taking into account aberration variations with temperature.

These problems will be explained with reference to the attached drawings below.

Figure 10(a) is a graph illustrating wavefront aberration during a CD read when NA of the objective lens 23 is 0.6 and NA inside the intermediate area A2 is 0.39 and Figure 10(b) is a graph illustrating a relationship

Moreover, the objective lens with the conventional central ring zone may have considerable level differences in both of or at least one of the inside and outside perimeters of the ring zone and even if an attempt is made to perform molding using a glass lens with high temperature stability, the molding is difficult from the standpoint of volume production or even if the molding is applicable to the shape, a large transition area (area

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that its NA is low. Figure 19(b) shows a focus error signal when the CD7b is read using the objective lens 23. Though it is small spherical aberration, this spherical aberration causes a pseudo-signal to occur in the focus signal. This pseudo-signal is attributable to misoperation during focusing.

In view of such problems of the optical head the object of the present invention is to improve the light utilization efficiency as the area to make the intermediate area usable to both DVD and CD. It is another object of the present invention to expand the area of the intermediate area and reduce spherical aberration during a CD read/write without sacrificing the light utilization efficiency and DVD characteristics and improve the quality of a focus error signal, etc.

The 1st invention of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said convex lens comprises:

a central area close to a central axis of said luminous flux;

a peripheral area far from said central axis; and

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an intermediate area located midway between said central area and said peripheral area, and

the luminous flux converging onto an information recording surface of said first optical information recording medium is the luminous flux that has passed through said central area and said peripheral area,

the luminous flux converging onto the information recording surface of said second optical information recording medium is the luminous flux that has passed through said intermediate area and said central area, and

the phase of the luminous flux that passes through said intermediate area is shifted with respect to the phase of the luminous flux that passes through said central area.

The 2nd invention of the present invention is the lens according to the 1st invention, characterized in that the phase of the luminous flux that passes through the innermost perimeter of said intermediate area is shifted with respect to the phase of the luminous flux that passes through the outermost perimeter of said central area.

The 3rd invention of the present invention is the lens according to the 2nd invention, characterized in

that a shift of said phase is set so as to delay by an amount Δ that satisfies the following (Equation 1):

Equation 1

$$240^{\circ} + m \times 360^{\circ} < \Delta < 360^{\circ} + n \times 360^{\circ}$$

m: Integer, n: integer more than or equal to m

The 4th invention of the present invention is the lens according to the 3rd invention, characterized in that said amount Δ is an amount that satisfies the following (Equation 2):

Equation 2

$$270^{\circ} + m \times 360^{\circ} < \Delta < 330^{\circ} + n \times 360^{\circ}$$

m: Integer, n: integer more than or equal to m

The 5th invention of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said lens comprises:

a central area close to a central axis of said luminous flux;

a peripheral area far from said central axis; and

an intermediate area located midway between said central area and said peripheral area, and

the luminous flux converging onto the information recording surface of said first optical information recording medium is the luminous flux that has passed through said central area and said peripheral area,

the luminous flux converging onto the information recording surface of said second optical information recording medium is the luminous flux that has passed through said intermediate area and said central area, and

said intermediate area has nature that spherical aberration is optimized to an optical information recording medium thicker than said second optical information recording medium.

The 6th invention of the present invention is a convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said convex lens comprises:

a central area close to a central axis of said luminous flux;

a peripheral area far from said central axis; and

an intermediate area located midway between said central area and said peripheral area, and

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the luminous flux converging onto the information recording surface of said first optical information recording medium is the luminous flux that has passed through said central area and said peripheral area,

the luminous flux converging onto the information recording surface of said second optical information recording medium is the luminous flux that has passed through said intermediate area and said central area, and

said central area is designed so that the phase of luminous flux that has passed through the area thereof is substantially shifted with respect to the phase of the luminous flux that has passed through said peripheral area.

The 8th invention of the present invention is the lens according to the 7th invention, characterized in that said phase shift substantially corresponds to one wavelength.

The 9th invention of the present invention is the lens according to any one of the 1st to 8th inventions, characterized in that when the numerical aperture (hereinafter referred to as "NA") of all luminous fluxes that have passed through said lens is assumed to be (a), said NA of the boundary between said central area and said intermediate area is $0.6(a)$ to $0.8(a)$ and said NA

of the boundary between said intermediate area and said peripheral area is 0.7(a) to 0.9(a).

The 10th invention of the present invention is the lens according to the 5th invention, characterized in that the thickness of said first optical information recording medium is substantially 0.6 mm and the thickness of said second optical information recording medium is substantially 1.2 mm, and

said intermediate area has nature that spherical aberration is optimized with respect to an optical information recording medium with a base material thickness within the following range:

1.2 mm < base material thickness \leq 1.8 mm

The 11th invention of the present invention is an optical head, characterized by comprising the lens according to any one of the 1st to the 10th inventions and a photoreception element that receives reflected light from said first optical information recording medium or said second optical information recording medium and converts said reflected light to an electric signal.

The 12th invention of the present invention is an optical information recording medium writing/reading apparatus, characterized by comprising said optical head according to the 11th invention and a circuit that

The 13th invention of the present invention is an optical information recording medium writing/reading method for, by using the optical head according to the 11th invention and a circuit that distinguishes said first optical information recording medium from said second

optical information recording medium and selectively reads information from said electric signal, converging luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal,

characterized in that the luminous flux that has passed through said central area and said peripheral area of said lens is allowed to converge onto the information recording surface of said first optical information recording medium, and

the luminous flux that has passed through said intermediate area and said central area of said lens is allowed to converge onto the information recording surface of said second optical information recording medium.

The 14th invention of the present invention is a convex lens for allowing luminous flux from a first light source to converge to a first optical information recording medium having a predetermined thickness and allowing luminous flux from a second light source having a wavelength different from the first light source to

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converge to a second optical information recording medium which is thicker than the first optical information recording medium, characterized in that said lens comprises:

a central area close to the central axis of said luminous flux;

a peripheral area far from said central axis; and

an intermediate area located midway between said central area and said peripheral area, and

the luminous flux converging onto the information recording surface of said first optical information recording medium from said first light source is the luminous flux that has passed through said central area, said intermediate area and said peripheral area,

the luminous flux converging onto the information recording surface of said second optical information recording medium from said second light source is the luminous flux that has passed through said intermediate area and said central area, and

said intermediate area is provided with a diffraction grating.

The 15th invention of the present invention is the lens according to the 14th invention, characterized in that the diffraction grating of the intermediate area, using diffracted light of the same order, forms luminous

Equation 2

$$270^\circ + m \times 360^\circ < \Delta < 330^\circ + n \times 360^\circ$$

m: Integer, n: integer more than or equal to m

The 19th invention of the present invention is the lens according to any one of the 14th to 18th inventions, characterized in that wherein a diffraction grating is provided in the peripheral area far from said central axis.

The 20th invention of the present invention is an optical head, characterized by comprising:

a lens according to any one of the 14th to 19th inventions; and

a photoreception element that receives reflected light from said first optical information recording medium or said second optical information recording medium and converts said reflected light to an electric signal.

The 21st invention of the present invention is an optical information recording medium writing/reading apparatus, characterized by comprising said optical head according to the 20th invention and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, wherein the apparatus,

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converges luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium,

receives reflected light from said first optical information recording medium or said second optical information recording medium,

converts said reflected light to an electric signal and reads information from said electric signal,

converges the luminous flux that has passed through said central area and said peripheral area of said lens onto the information recording surface of said first optical information recording medium, and

converges the luminous flux that has passed through said intermediate area and said central area of said lens onto the information recording surface of said second optical information recording medium.

The 22nd invention of the present invention is an optical information recording medium writing/reading method for, by using an optical head according to the 21st invention and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, converging luminous flux from a light source onto said first optical information recording medium or said second optical

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information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal, wherein

the luminous flux that has passed through said central area and said peripheral area of said lens is allowed to converge onto the information recording surface of said first optical information recording medium, and

the luminous flux that has passed through said intermediate area and said central area of said lens is allowed to converge onto the information recording surface of said second optical information recording medium.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1(a) and (b) illustrate an objective lens according to Embodiment 1 of the present invention;

Figure 2 illustrates a wavefront on a disk during reading of DVD or CD according to the above embodiment;

Figure 3(a) and (b) illustrate a thickness of a base material corresponding to an intermediate area, a phase relationship between the intermediate area and central area and a relationship of a CD read signal with jitter;

Figure 4(a) and (b) illustrate an objective lens according to Embodiment 2 of the present invention;

Figure 5 illustrates a wavefront on the disk during reading of the DVD or CD according to the above embodiment;

Figure 6(a) and (b) illustrate an objective lens according to Embodiment 3 of the present invention;

Figure 7 illustrates a wavefront on the disk during reading of the DVD or CD according to Embodiment 3;

Figure 8 illustrates a configuration of an optical system of a conventional optical head;

Figure 9 illustrates an objective lens of the conventional optical head;

Figure 7 illustrates a wavefront on the disk during reading of the DVD or CD according to Embodiment 3;

Figure 8 illustrates a configuration of an optical system of a conventional optical head;

Figure 9 illustrates an objective lens of the conventional optical head;

Figure 10 illustrates the thickness of a corresponding base material of the intermediate area and a phase relationship between the intermediate area and central area of the conventional example;

Figure 11 illustrates a configuration of an optical system of an optical head according to Embodiment 1 of the present invention;

Figure 12 illustrates a configuration of an optical system of an optical head according to Embodiment 2 of the present invention;

Figure 13 illustrates a configuration of an optical system of an optical head according to Embodiment 3 of the present invention;

Figure 14 illustrates an optical configuration according to Embodiment 4 of the present invention;

Figure 15(a) and (b) illustrate an objective lens of the embodiment above;

Figure 16 illustrates a wavefront on the disk during reading of the DVD or CD according to the above embodiment;

Figure 17 illustrates an optical configuration according to Embodiment 5 of the present invention;

Figure 18(a) and (b) illustrate an objective lens of the embodiment above;

Figure 19(a) and (b) illustrate a focus error signal of the conventional example and the embodiment above;

Figure 20 illustrates an optical configuration according to Embodiment 6 of the present invention;

Figure 21(a) and (b) illustrate an objective lens of the embodiment above; and

Figure 22 illustrates a wavefront on the disk during reading of the DVD or CD according to the above embodiment.

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Description of Symbols

- 1 Semiconductor laser
- 2 Optical beam
- 3 Collimator lens
- 4 Photo detector
- 5 Beam splitter
- 7a Optical disk (DVD)
- 7b Optical disk (CD)
- 20 Objective lens according to Embodiment 1 of the present invention
- 21 Objective lens according to Embodiment 2 of the present invention
- 22 Objective lens according to Embodiment 3 of the present invention
- 23 Objective lens of conventional optical head

BEST MODE FOR CARRYING OUT THE INVENTION

With reference to the attached drawings, embodiments of the present invention will be explained below.

(Embodiment 1)

Figure 11 illustrates a configuration of an optical system of an optical head according to Embodiment 1 of the present invention. The configuration shown in the same drawing is the same as the configuration of the optical head of the conventional example shown in Figure 8 except

the objective lens 20 and the same components as those in Figure 8 are assigned the same reference numerals and explanations thereof will be omitted. A photo detector 4 in Figure 11 is applicable as the photoreception element of the optical head of the present invention and a read signal circuit 10 in Figure 11 is applicable as the circuit of the optical information writing/reading apparatus of the present invention. The photo detector 4 is the means of receiving reflected light from the optical disk 7 and converting the reflected light to an electric signal and the read signal circuit 10 is a circuit that distinguishes the type of the optical disk 7 and selectively reads information from the electric signal.

What the objective lens 20 according to Embodiment 1 shown in Figure 1 differs from the objective lens 23 shown in the convention example is the thickness of the corresponding base material of the intermediate area A2. In the conventional example, the thickness of the corresponding base material of the intermediate area A2 is optimized to a thick disk, that is, a CD with a base material thickness of 1.2 mm in this case, while in the present invention, it is optimized to a base material thicker than the CD, that is, 1.6 mm. That is, spherical aberration is optimized for a CD with a base material thickness of 1.6 mm.

Figure 2 shows wavefront aberration when the DVD7a with a base material thickness of 0.6 mm and CD7b with a base material thickness of 1.2 mm are read. The section of the intermediate area A2 is optimized to the base material thickness of 1.6 mm as shown above, and therefore aberration is provided also when the CD7b with a base material thickness of 1.2 mm is read.

On the other hand, Figure 3(a) shows wavefront aberration during a CD read. Figure 3(b) shows the phase shift of the part of the intermediate area that contacts the central area A1 with respect to the central part of the lens and the variation of CD read jitter when the corresponding base material thickness of the intermediate area A2 is changed from 1.2 mm to 1.8 mm.

From this, (1) it is observed that it is possible to reduce jitter variations due to phase jitter of the intermediate area A2 by changing the corresponding base material thickness of the central area A2 from 1.2 mm to 1.6 mm and according to this simulation result, (2) when the corresponding base material thickness of the central area A2 is 1.6 mm, it is also observed that when the amount of phase is delayed by 300 degrees, that is advanced by 60 degrees, CD read jitter can be reduced most.

m: Integer, n: integer more than or equal to m

1.2 mm < base material thickness ≤ 1.8 mm
(Embodiment 2)

As shown in Figure 4, what the objective lens 21 according to Embodiment 2 differs from the objective lens 20 shown in Embodiment 1 is that the surface on which the intermediate area A2 is set is a second surface which is the surface of the side facing the substrate and the thickness of the corresponding base material of the intermediate area A2.

In Embodiment 1, the intermediate area A2 is set on the side of the first surface, while in this Embodiment,

Furthermore, the corresponding base material thickness of the intermediate area A2 is optimized to a thick disk, that is, 1.6 mm in Embodiment 1, while in this Embodiment 2, the corresponding base material thickness of the intermediate area A2 is optimized to an even thicker base material of 1.8 mm and there is no level difference between the intermediate area A2 and peripheral area A3.

Figure 5 illustrates wavefront aberration when a DVD7a with a base material thickness of 0.6 mm and CD7b with a base material thickness of 1.2 mm are read using the objective lens 21 according to Embodiment 2.

A general method of molding an objective lens is to perform injection molding on a plastic material or apply thermo-pressing to a plastic material or glass material. Since processing is performed using metal molds in all cases, the ease of processing of metal molds and the life

of metal molds are important factors that affect the cost of the lens itself.

From the standpoint of processing of metal molds, level differences on a lens need to be processed using sharp cutting tools, and are therefore not desirable. Therefore, it is desirable that either the inside perimeter or the outside perimeter of the intermediate area A2 be contiguous on the boundary to the central area A1 or peripheral area A3 without level differences.

Furthermore, when the shape of the cutting tool is taken into account, even if the central area A1 and central area A2 are contiguous, the bending angle of the metal mold surface is 180 degrees or less, which inevitably requires processing with a sharp cutting tool. For this reason, it is further desirable that the contiguous plane be outside the central area A2, that is, that the boundary with the peripheral area A3 be contiguous.

According to the design by the inventor, it has been found that by setting the corresponding base material thickness of the central area A2 to 1.8 mm, NA of the outside of the central area A2 to 0.45 and NA of the inside to 0.39, it is possible to eliminate level differences between the central area A2 and peripheral area A3 and change the phase in the center of the lens to close to

ideal 300 degrees because of jitter reduction during a CD read.

Such design requires a transition area to be provided on the boundary between the central area A2 and central area A1, but it is a level that will not affect the quality of a read signal and it is now possible to manufacture metal molds capable of molding glass materials.

Using this Embodiment 2 makes it possible to apply a press working method for glass materials and construct a high precision, high reliability system required for a DVD system in particular.

(Embodiment 3)

Figure 13 illustrates a configuration of an optical system of an optical head according to Embodiment 3 of the present invention. The configuration shown in the same drawing is the same as the configuration of the optical head shown in Embodiment 1 of the present invention except the objective lens 22 and the same components as those in Figure 11 are assigned the same reference numerals and explanations thereof will be omitted. Figure 6 shows the objective lens 22 according to Embodiment 3.

Here, what the objective lens 22 according to Embodiment 3 differs from the objective lens 21 shown in Embodiment 2 is that the phase of the central area A1 is shifted from the peripheral area A3 by 1 wavelength

(DVD wavelength) with respect to the wavelength of the DVD and that the thickness of the corresponding base material of the intermediate area A2 is set to 1.2 mm and that outside NA of the intermediate area A2 is set to 0.46.

As is apparent from a comparison between Figure 4 and Figure 6, "being shifted" means that the section indicated with broken line S is projected or lifted toward the substrate.

In Embodiment 2, the corresponding base material thickness of the intermediate area A2 is optimized to a thick disk, that is, a base material thickness of 1.8 mm in this case, while in this embodiment, the corresponding base material thickness of the intermediate area A2 is optimized to a base material thickness of 1.2 mm which is the base material thickness of the CD itself.

Figure 7 illustrates wavefront aberration when a DVD7a with a base material thickness of 0.6 mm and CD7b with a base material thickness of 1.2 mm are read using the objective lens 22 according to Embodiment 3.

Since the intermediate area A2 is optimized to a base material thickness of 1.2 mm, no aberration is produced when a CD7b with a base material thickness of 1.2 mm is read. Furthermore, in this Embodiment 3, there is almost no level difference on the boundary between the

intermediate area A2 and peripheral area A3, and moreover the level difference between the central area A1 and intermediate area A2 can be made smaller than Embodiment 2 above.

A general method of molding an objective lens is to perform injection molding on a plastic material or apply thermo-pressing to a plastic material or glass material. Since processing is performed using metal molds in all cases, the ease of processing of metal molds and the life of metal molds are important factors that affect the cost of the lens itself.

From the standpoint of processing of metal molds, level differences on a lens need to be processed using sharp cutting tools, and are therefore not desirable. Therefore, it is desirable that either the inside perimeter or the outside perimeter of the intermediate area A2 be contiguous on the boundary to the central area A1 or peripheral area A3 without level differences.

Since a simulation conducted by the inventor has demonstrated that it is possible to reduce CD jitter by changing the intermediate area A2 from 240 degrees to 300 degrees (from -120 degrees to -60 degrees) when the corresponding base material thickness is 1.2 mm, it has been proven that it is possible to eliminate a level difference between the intermediate area A2 and

Figure 14 illustrates a configuration of an optical system of an optical head according to Embodiment 4 of the present invention. Of the configuration shown in the same figure, the same components as those in Figure 8 are assigned the same reference numerals and explanations thereof will be omitted. The optical detector 4 in Figure 11 is applicable as the photoreception element of the optical head of the present invention and the read signal circuit 10 in Figure 11 is applicable as the circuit of

the optical information writing/reading apparatus of the present invention. The optical detector 4 is the means of receiving reflected light from the optical disk 7 and converting to an electrical signal and the read signal circuit 10 is the circuit for distinguishing the type of the optical disk 7 and selectively reading information from the electric signal.

The optical configuration of this embodiment of the present invention is significantly different from the conventional example in that the optical configuration of this embodiment of the present invention uses different semiconductor lasers for reading the DVD7a and for reading the CD7b. That is, when the DVD7a is read, the optical beam 2 from the semiconductor laser 1 having a wavelength of 650 nm is converged to the DVD7a through the objective lens 24 and the reflected light is reflected by the beam splitter 5 and received by the optical detector 4 as in the conventional examples. On the other hand, when the CD7b is read, the optical beam 102 from the semiconductor laser 101 having a wavelength of 780 nm is reflected by the beam splitter 105 and converged to the CD7b as in the case of the optical beam 2 which reads the DVD7a and the reflected light is reflected by the beam splitter 5 and received by the optical detector 4.

Here, what the objective lens 24 according to Embodiment 4 shown in Figure 15 differs from the objective lens 23 shown in the conventional example is the intermediate area A2. The intermediate area A2 in the conventional example is optimized to a thick disk, that is, CD with a base material thickness of 1.2 mm, while in the present invention the intermediate area A2 has a non-spherical shape provided with a diffraction grating and the wavefront that has passed through the grating is set so as to be optimized to the base material thickness of 0.6 mm of the DVD7a when the DVD7a is read, that is, when the optical beam 2 from the semiconductor laser 1 passes, and it is set so as to be optimized to the base material thickness of 1.2 mm of the CD7b due to the wavelength dependency of the diffraction grating when the CD7b is read, that is, when the optical beam 102 from the semiconductor laser 101 passes.

Figure 16 illustrates wavefront aberration when the DVD7a of the base material thickness of 0.6 mm and that of the base material thickness of 1.2 mm are read using the objective lens 24. When the DVD7a is read, the central area A1 and peripheral area A3 are optimized to the base

material thickness of the DVD7a and the intermediate area A2 is optimized to the base material thickness 0.6 mm of the DVD7a at the DVD7a laser wavelength of 650 nm via the diffraction grating as described above and therefore it is possible to obtain wavefront with no aberration over the entire area during a read of the DVD7a. Furthermore, all luminous fluxes are converged to the DVD7a over the entire area, it is possible to increase the light utilization efficiency when a DVD is read compared to the lens 23 in the conventional example.

On the other hand, when the CD7b is read, certain spherical aberration occurs in the central area A1, but since the intermediate area A2 is optimized to the base material thickness of 1.2 mm of the CD7b at CD7b laser 780 nm via the diffraction grating as in the case of a read of the DVD7a, it is possible to suppress aberration of the entire area used to read the CD7b to a low level. Furthermore, since level differences on the boundary between the central area A1 and intermediate area A2 are set to approximately 4 microns, level differences occur which correspond to 4 wavelengths at DVD read wavelength 650 nm, but during a read of the DVD7a, waterfront is set so as to be contiguous without phase difference.

This level difference setting on the boundary generates a phase level difference of approximately -100

degrees (260 degrees) on the boundary between the central area A1 and intermediate area A2 due to a wavelength difference during a CD read. As in the case of the third embodiment of the present invention, this phase difference setting has an effect of reducing read signal jitter during a read of the CD7b. By the way, luminous flux that has passed through the peripheral area A3 during a read of the CD7b involves large spherical aberration and does not contribute to the read signal.

(Embodiment 5)

Figure 17 illustrates a configuration of an optical system of an optical head according to Embodiment 5 of the present invention. The configuration shown in the same figure is the same as the configuration of the optical head shown in Embodiment 4 of the present invention except the objective lens 25 and the same components as those in Figure 14 are assigned the same reference numerals and explanations thereof will be omitted. Figure 18 illustrates the objective lens 25 of Embodiment 5.

What the objective lens 25 of Embodiment 5 differs from the objective lens 24 shown in Embodiment 4 is NA in the inside perimeter of the intermediate area A2. NA in the inside perimeter of the intermediate area A2 of the objective lens 25 is set to 0.2. The conventional example has a configuration in which luminous flux of

the intermediate area A2 does not contribute to a DVD read and has therefore a low degree of freedom in the setting of NA of the intermediate area A2 from the standpoint of the quality of a DVD7a read signal, while the present invention has a configuration in which luminous flux that has passed through the intermediate area A2 also contributes to a DVD read and can therefore freely set NA of the intermediate area A2.

Setting NA in the inside perimeter of the intermediate area to a small value makes it possible to reduce spherical aberration of the central area A1 during a read of the CD7b. This reduction of spherical aberration of the central area A1 has an effect of improving a focus error signal during a read of the CD7b. Figure 19(a) shows a focus error signal in this embodiment of the present invention. For a comparison, the focus error signal in the conventional example is also shown in Figure 19(b), but since spherical aberration of the central area A1 is reduced, folding of the focus error signal is reduced. This prevents misoperation during focusing.

(Embodiment 6)

Figure 20 illustrates a configuration of an optical system of an optical head according to Embodiment 6 of the present invention. The configuration shown in the same drawing is the same as the configuration of the optical

it is possible to obtain wavefront with no aberration over the entire area during a read of the DVD7a.

Furthermore, since all luminous fluxes are converged to the DVD7a over the entire area, it is possible to increase the light utilization efficiency during a DVD read compared to the lens 23 in the conventional example. On the other hand, when the CD7b is read, certain spherical aberration occurs in the central area A1, but since the intermediate area A2 is optimized to the base material thickness of 1.2 mm of the CD7b at CD7b laser 780 nm via the diffraction grating as in the case of a read of the DVD7a, it is possible to suppress aberration of the entire area used to read CD7b to a low level. By the way, the luminous flux that has passed through the peripheral area A3 during a read of the CD7b involves even greater spherical aberration than the lens in Embodiment 4 or Embodiment 5 of the present invention due to the diffraction grating, which further reduces its contribution to the read signal.

The present invention obtains an outstanding effect of reducing deterioration of CD read jitter due to a phase shift of the intermediate area by setting the corresponding base material thickness of the intermediate area to a thick disk, that is, 1.6 mm thicker than the CD base material thickness of 1.2 mm, thereby setting the amount of phase to an appropriate amount, optimizing

The present invention shifts the wavefront of the central area by 1 wavelength (DVD wavelength) from the peripheral area, and can thereby set the amount of phase difference between the central area and intermediate area to an appropriate amount and reduce level differences among areas to a very small level, further facilitating manufacturing of DVD/CD compatible lenses that can be subjected to glass pressing.

INDUSTRIAL APPLICABILITY

As apparent from the foregoing explanations, the present invention can reduce deterioration of read jitter of an optical disk with a large base material thickness due to differences such as manufacturing errors in the amount of phase shift of the intermediate area with respect to the central area of an objective lens.

The present invention has advantages of being able to facilitate the processing of an objective lens processing metal mold, reduce lens costs by extending the life of the metal mold or select glass as a material of the lens and thereby implement a system with higher accuracy and higher reliability.

Furthermore, the present invention allows luminous flux passing through the intermediate area to be used during both a DVD read and CD read, thus making it possible to improve the transmission efficiency during a DVD read. Moreover, its ability to expand NA of the intermediate area reduces spherical aberration during a CD read, making it possible to improve focus error signals.

Moreover, the provision of a diffraction grating in the peripheral area, too can further reduce stray light of outside perimeter light during a CD read.

a central area close to a central axis of said luminous flux;

a peripheral area far from said central axis; and
an intermediate area located midway between said
central area and said peripheral area, and

the luminous flux converging onto the information recording surface of said first optical information recording medium is the luminous flux that has passed through said central area and said peripheral area,

the luminous flux converging onto the information recording surface of said second optical information recording medium is the luminous flux that has passed through said intermediate area and said central area, and

said intermediate area has nature that spherical aberration is optimized to an optical information recording medium thicker than said second optical information recording medium.

6. A convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said convex lens comprises:

a central area close to a central axis of said luminous flux;

a peripheral area far from said central axis; and

an intermediate area located midway between said central area and said peripheral area, and

the luminous flux converging onto the information recording surface of said first optical information recording medium is the luminous flux that has passed through said central area and said peripheral area,

the luminous flux converging onto the information recording surface of said second optical information recording medium is the luminous flux that has passed through said intermediate area and said central area, and

the intermediate area that separates said central area from said peripheral area is formed on a surface of the lens, the surface facing said optical information recording medium.

7. A convex lens for allowing luminous flux from a light source to converge to a first optical information recording medium having a predetermined thickness and a second optical information recording medium thicker than the first optical information recording medium, characterized in that said lens comprises:

that have passed through said lens is assumed to be (a), said NA of the boundary between said central area and said intermediate area is 0.6(a) to 0.8(a) and said NA of the boundary between said intermediate area and said peripheral area is 0.7(a) to 0.9(a).

10. The lens according to claim 5, characterized in that the thickness of said first optical information recording medium is substantially 0.6 mm and the thickness of said second optical information recording medium is substantially 1.2 mm, and

said intermediate area has nature that spherical aberration is optimized with respect to an optical information recording medium with a base material thickness within the following range:

1.2 mm < base material thickness \leq 1.8 mm

11. An optical head, characterized by comprising the lens according to any one of claim 1 to claim 10 and a photoreception element that receives reflected light from said first optical information recording medium or said second optical information recording medium and converts said reflected light to an electric signal.

12. An optical information recording medium
writing/reading apparatus, characterized by comprising
said optical head according to claim 11 and a circuit
that distinguishes said first optical information

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recording medium from said second optical information recording medium, selectively reads information from said electric signal, wherein the apparatus,

converges luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium,

receives reflected light from said first optical information recording medium or said second optical information recording medium,

converts said reflected light to an electric signal and reads information from said electric signal,

converges the luminous flux that has passed through said central area and said peripheral area of said lens onto the information recording surface of said first optical information recording medium, and

converges the luminous flux that has passed through said intermediate area and said central area of said lens onto the information recording surface of said second optical information recording medium.

13. An optical information recording medium writing/reading method for, by using the optical head according to claim 11 and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal,

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converging luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal,

characterized in that the luminous flux that has passed through said central area and said peripheral area of said lens is allowed to converge onto the information recording surface of said first optical information recording medium, and

the luminous flux that has passed through said intermediate area and said central area of said lens is allowed to converge onto the information recording surface of said second optical information recording medium.

14. A convex lens for allowing luminous flux from a first light source to converge to a first optical information recording medium having a predetermined thickness and allowing luminous flux from a second light source having a wavelength different from the first light source to converge to a second optical information recording medium which is thicker than the first optical information

recording medium, characterized in that said lens comprises:

a central area close to the central axis of said luminous flux;

a peripheral area far from said central axis; and

an intermediate area located midway between said central area and said peripheral area, and

the luminous flux converging onto the information recording surface of said first optical information recording medium from said first light source is the luminous flux that has passed through said central area, said intermediate area and said peripheral area,

the luminous flux converging onto the information recording surface of said second optical information recording medium from said second light source is the luminous flux that has passed through said intermediate area and said central area, and

said intermediate area is provided with a diffraction grating.

15. The lens according to claim 14, characterized in that the diffraction grating of the intermediate area, using diffracted light of the same order, forms luminous flux from the first light source into good wavefront with respect to the first optical information recording medium and forms luminous flux from the second light source into

good wavefront with respect to the second optical information recording medium.

16. The lens according to claim 15, characterized in that of the luminous flux converged onto the information recording surface of said second optical information recording medium from said second light source, the phase of the luminous flux that passes through said intermediate area is shifted with respect to the phase of the luminous flux that passes through said central area.

17. The lens according to claim 16, characterized in that the shift of said phase is set so as to delay by an amount Δ that satisfies the following (Equation 1):

Equation 1

$$240^\circ + m \times 360^\circ < \Delta < 360^\circ + n \times 360^\circ$$

m: Integer, n: integer more than or equal to m

18. The lens according to claim 17, characterized in that said amount Δ is an amount that satisfies the following (Equation 2):

Equation 2

$$270^\circ + m \times 360^\circ < \Delta < 330^\circ + n \times 360^\circ$$

m: Integer, n: integer more than or equal to m

19. The lens according to any one of claims 14 to 18, characterized in that wherein a diffraction grating is provided in the peripheral area far from said central axis.

20. An optical head, characterized by comprising:
a lens according to any one of claims 14 to 19; and
a photoreception element that receives reflected light from said first optical information recording medium or said second optical information recording medium and converts said reflected light to an electric signal.

21. An optical information recording medium writing/reading apparatus, characterized by comprising said optical head according to claim 20 and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, wherein the apparatus,

converges luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium,

receives reflected light from said first optical information recording medium or said second optical information recording medium,

converts said reflected light to an electric signal and reads information from said electric signal,

converges the luminous flux that has passed through said central area and said peripheral area of said lens

onto the information recording surface of said first optical information recording medium, and

converges the luminous flux that has passed through said intermediate area and said central area of said lens onto the information recording surface of said second optical information recording medium.

22. An optical information recording medium writing/reading method for, by using an optical head according to claim 21 and a circuit that distinguishes said first optical information recording medium from said second optical information recording medium and selectively reads information from said electric signal, converging luminous flux from a light source onto said first optical information recording medium or said second optical information recording medium, receiving reflected light from said first optical information recording medium or said second optical information recording medium, converting said reflected light to an electric signal and reading information from said electric signal, wherein

the luminous flux that has passed through said central area and said peripheral area of said lens is allowed to converge onto the information recording surface of said first optical information recording medium, and

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the luminous flux that has passed through said intermediate area and said central area of said lens is allowed to converge onto the information recording surface of said second optical information recording medium.

ABSTRACT

Conventional lenses for DVD/CD compatible writing/reading are liable to CD jitter deterioration due to phase shifts in a central area. Setting a corresponding base material thickness of an intermediate area to greater than 1.2 mm which is the base material thickness of the CD makes it possible to reduce jitter deterioration due to phase shifts and eliminate level differences between the central area and peripheral area, facilitate manufacturing of processing metal molds, allow extension of a life, which in turn makes it possible to use glass as a lens material, realize cost reduction or provide a system with higher precision and higher reliability.

Fig. 1 (a)

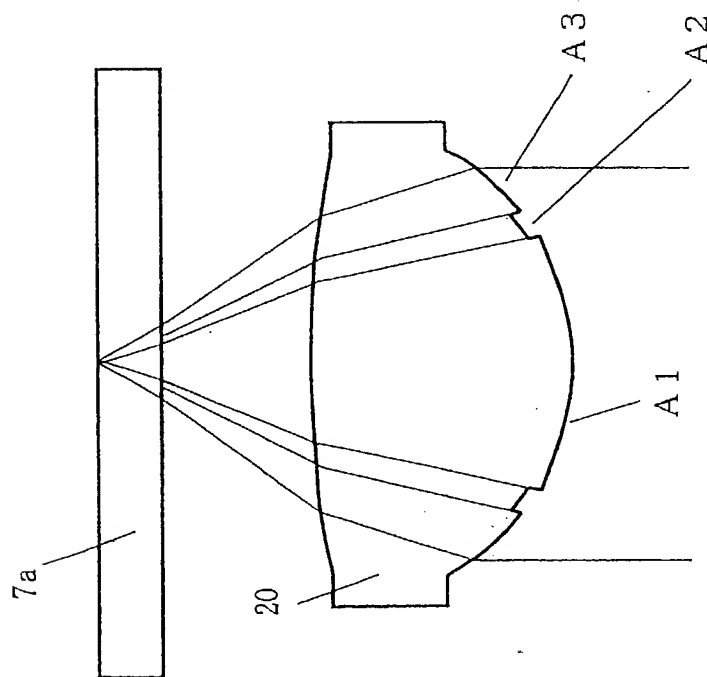
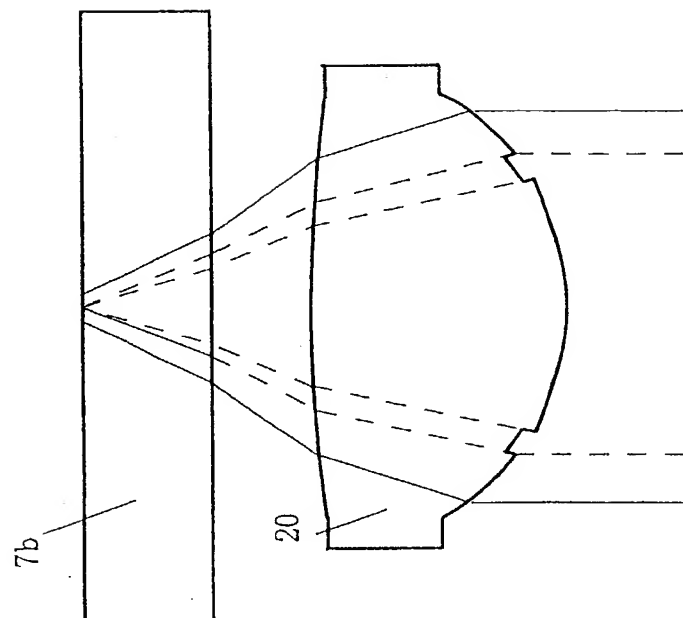
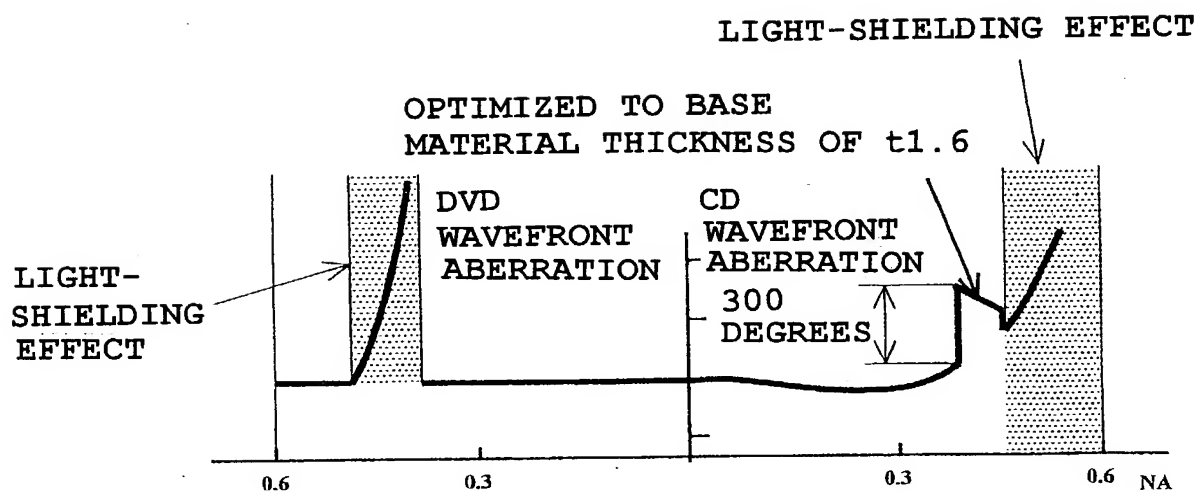


Fig. 1 (b)



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Fig. 2



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Fig. 3 (a)

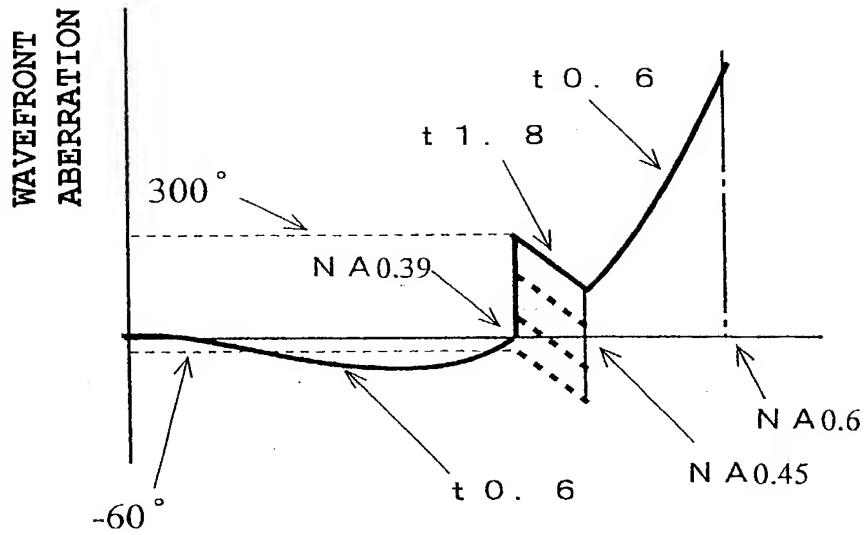


Fig. 3 (b)

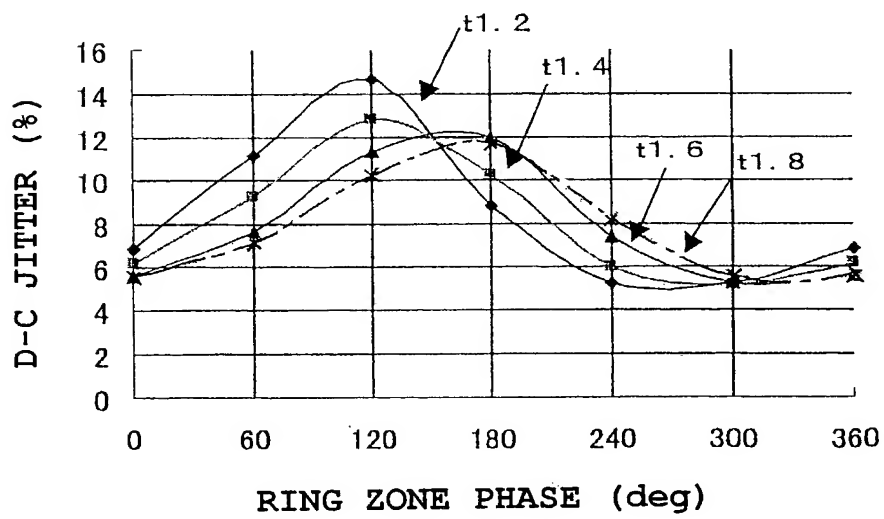


Fig. 4 (a)

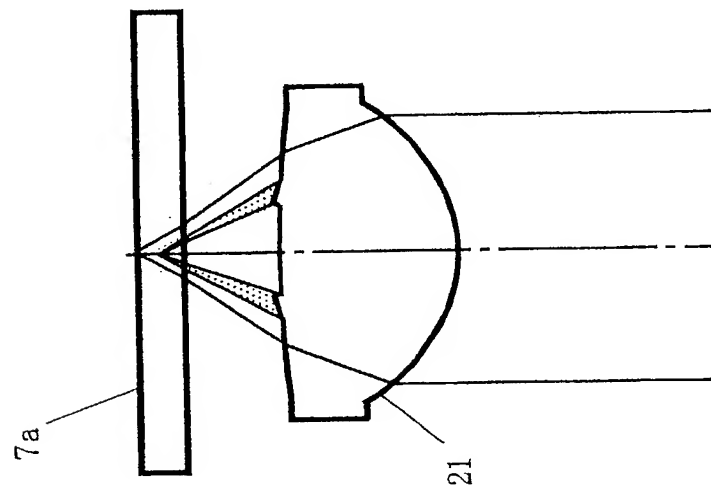
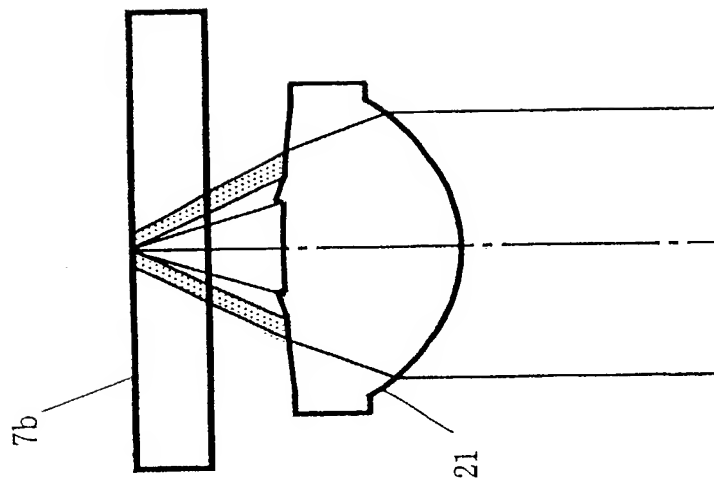


Fig. 4 (b)



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Fig. 5

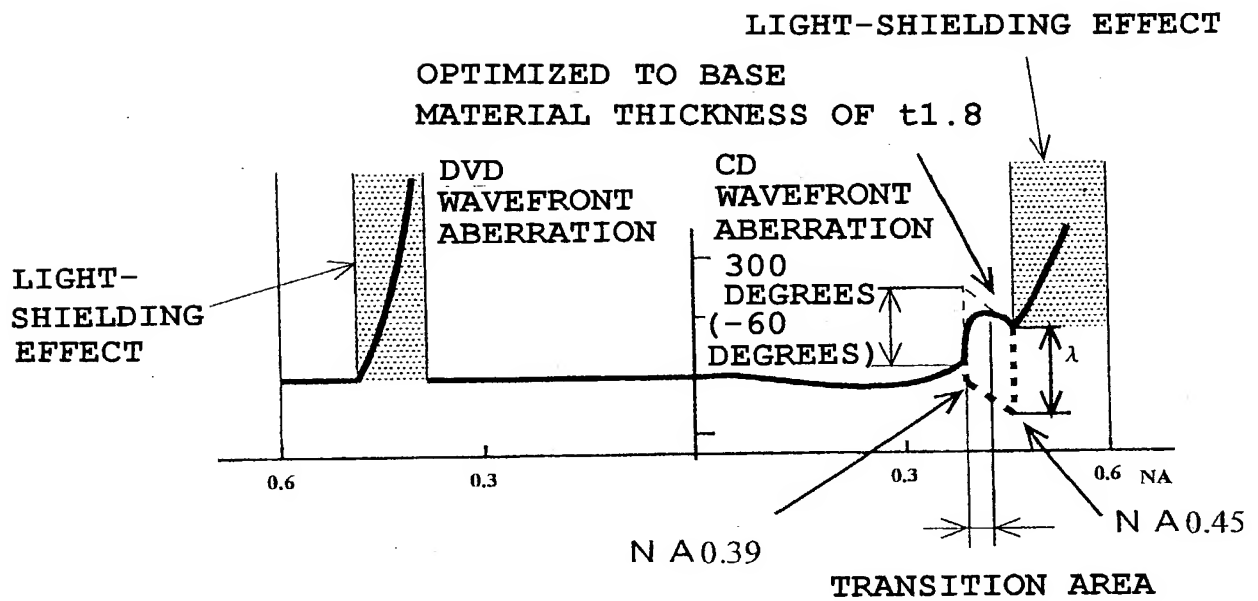


Fig. 6 (b)

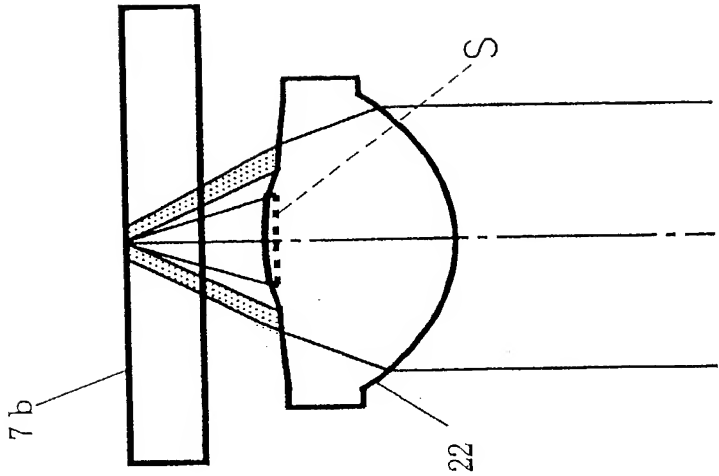
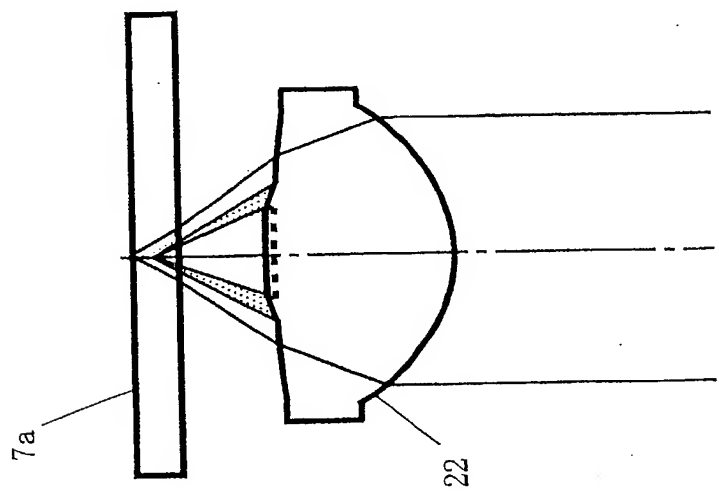


Fig. 6 (a)



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Fig. 7

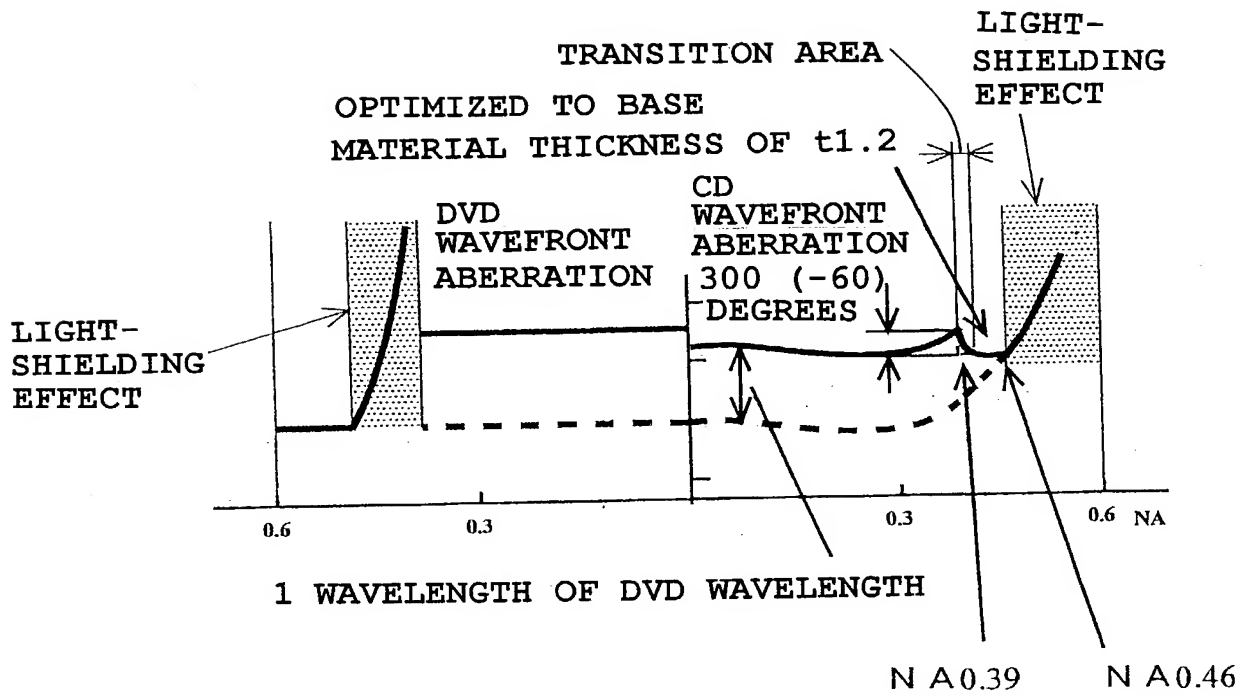


Fig. 8

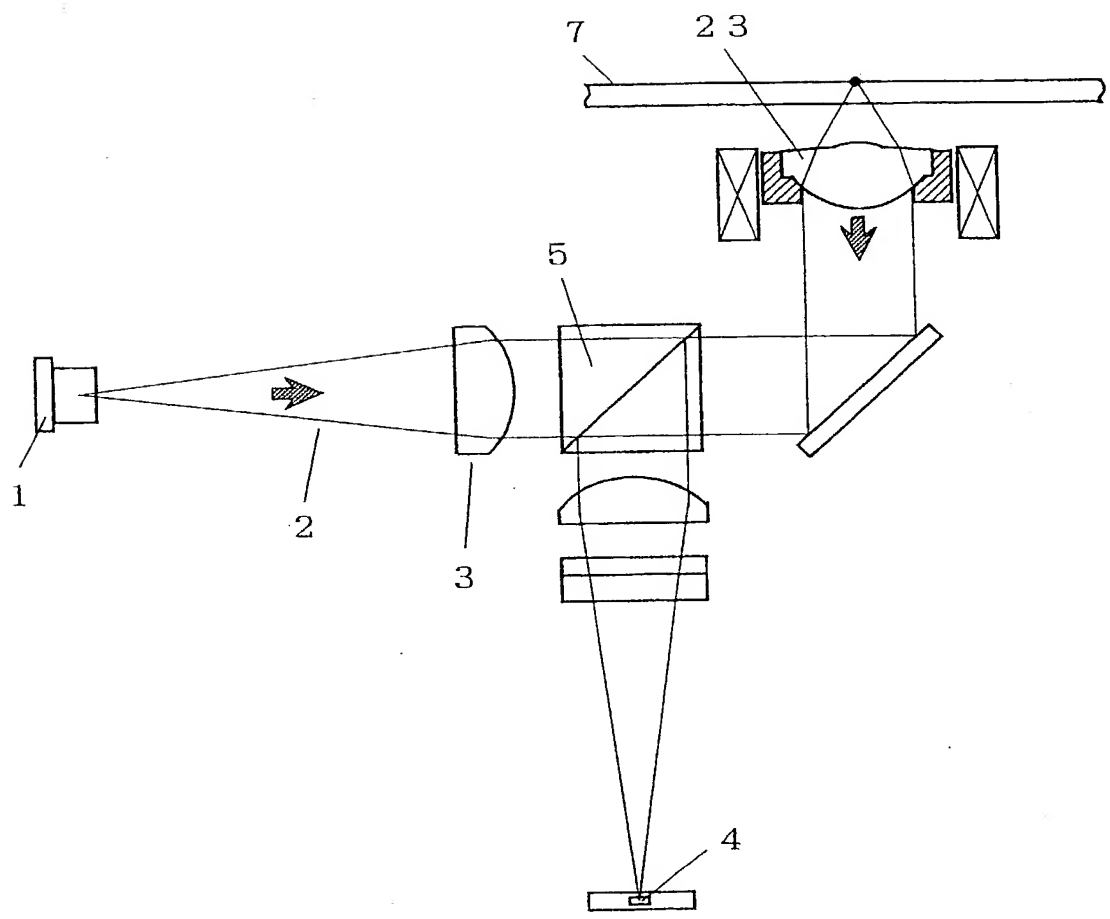


Fig. 9 (a)

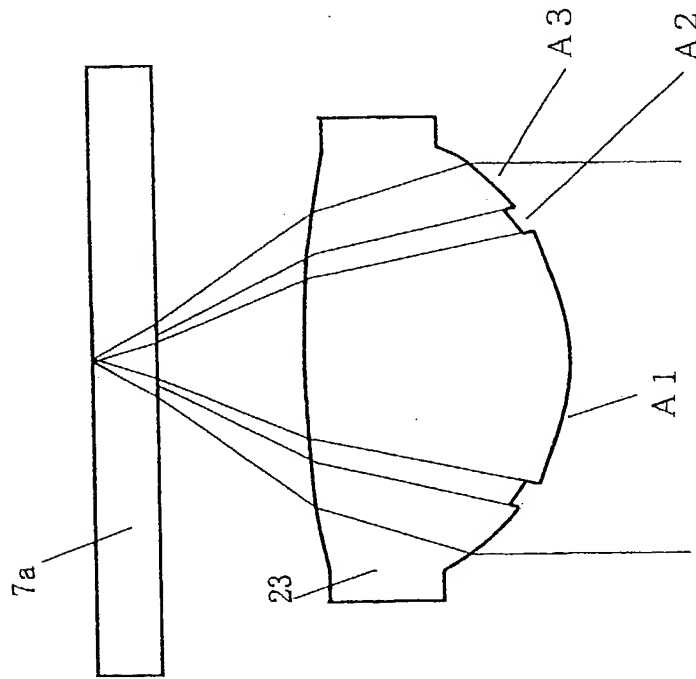
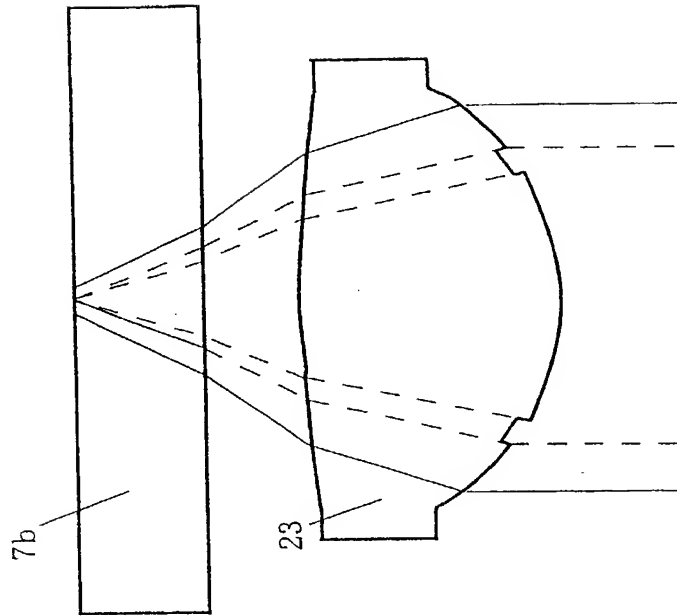


Fig. 9 (b)



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Fig. 10 (a)

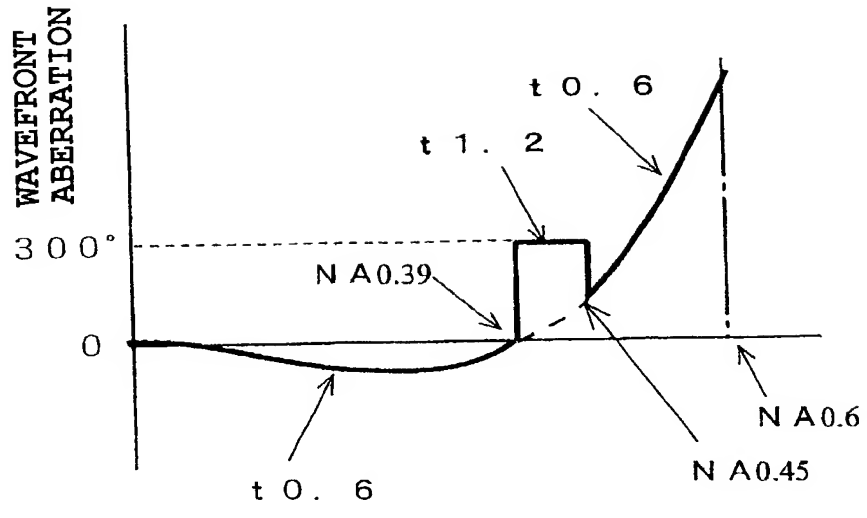
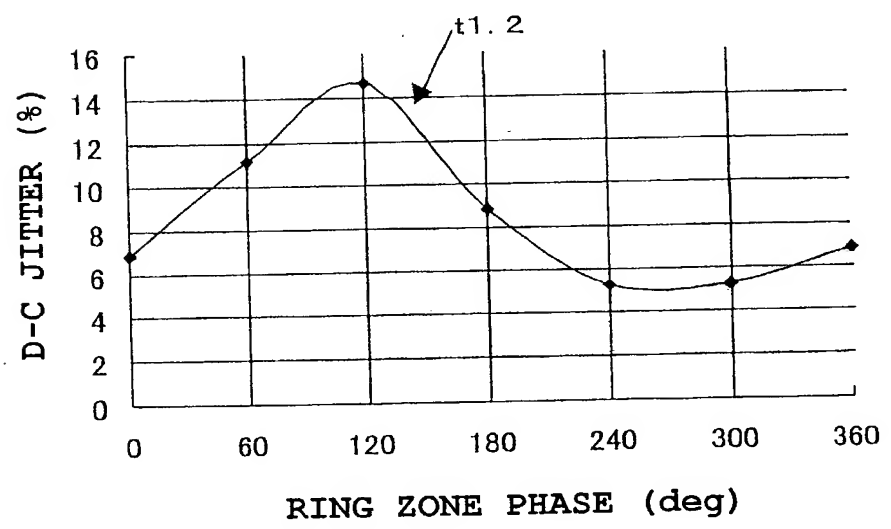
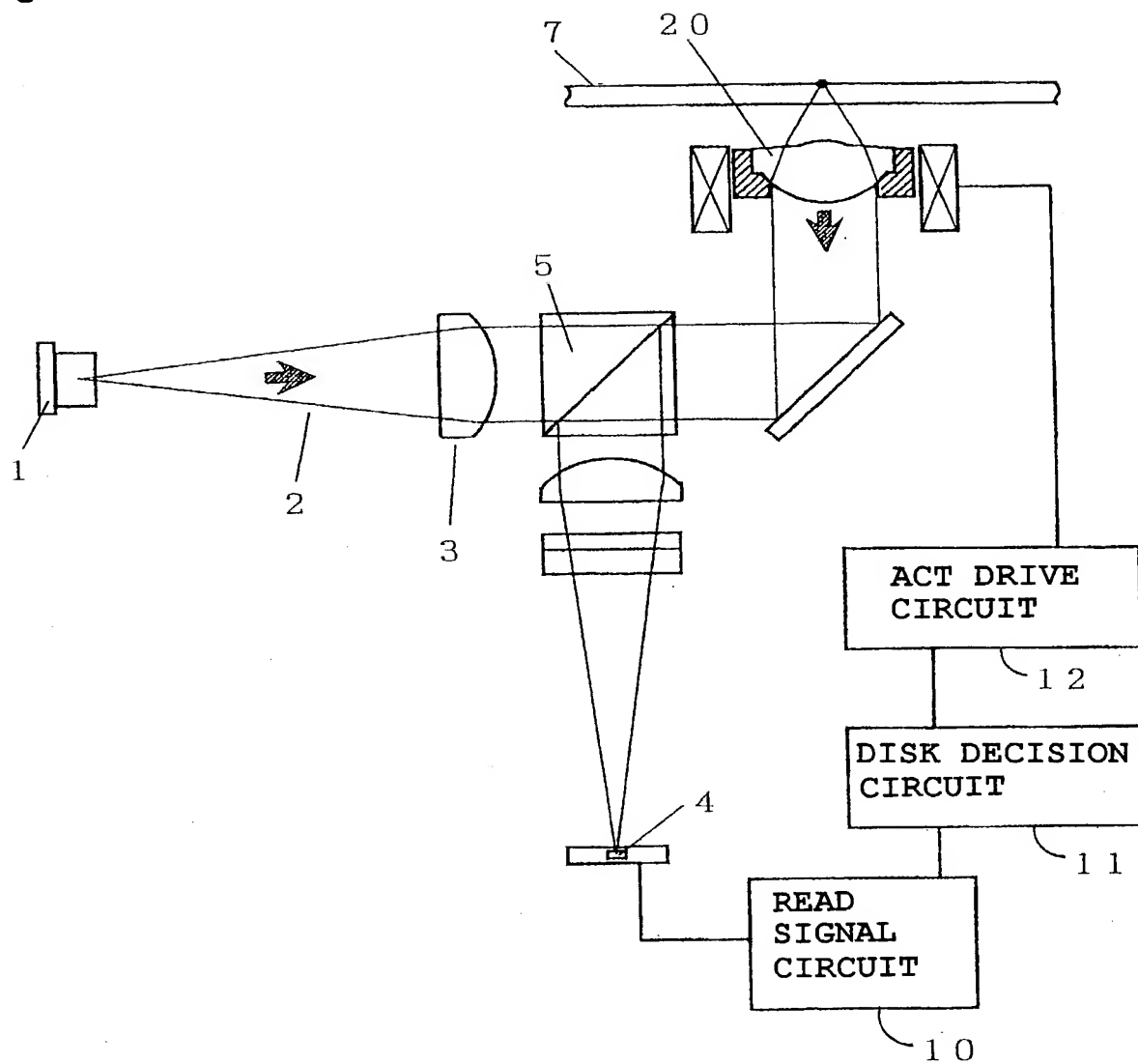


Fig. 10 (b)



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Fig. 11



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Fig. 12

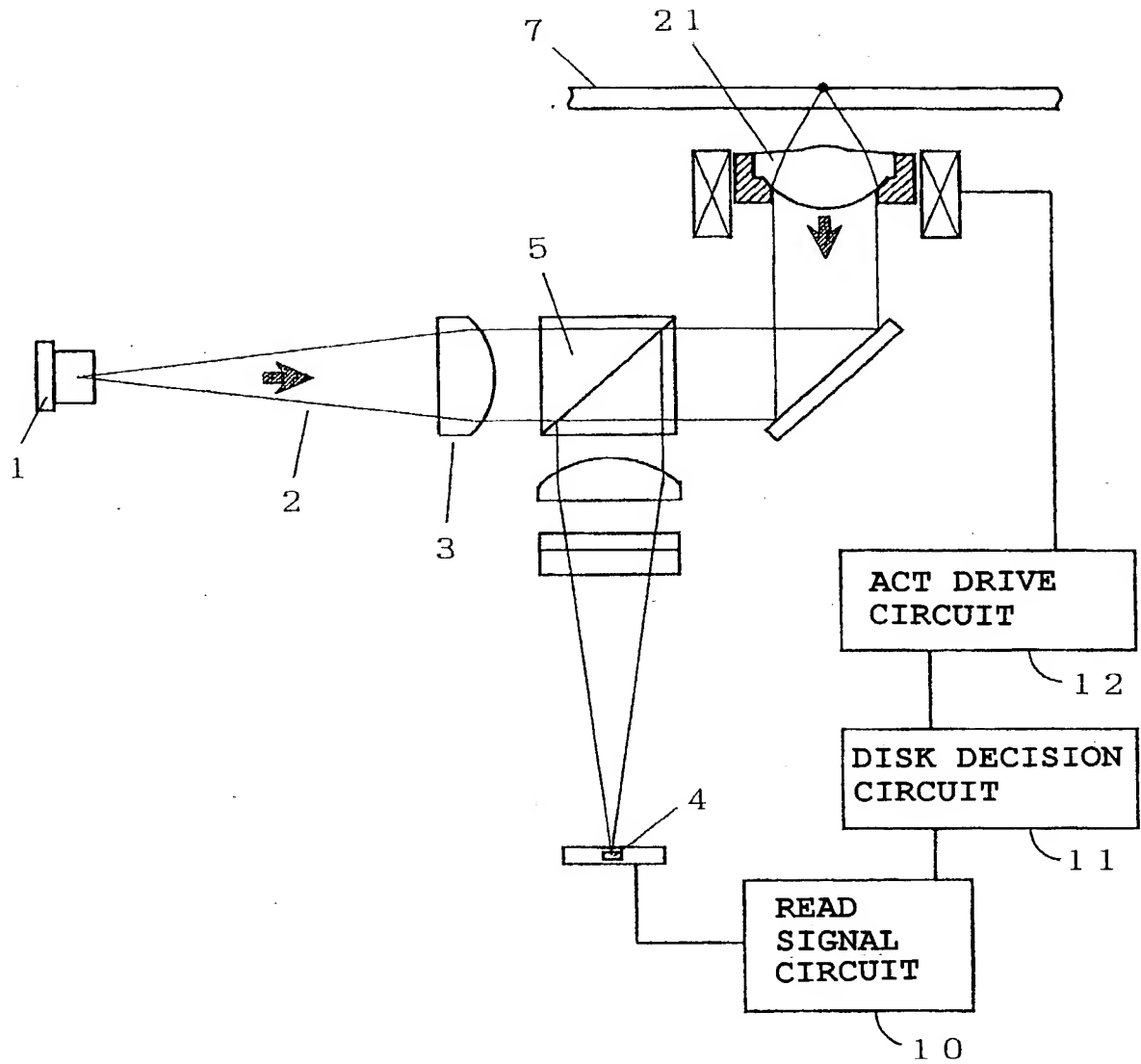


Fig. 13

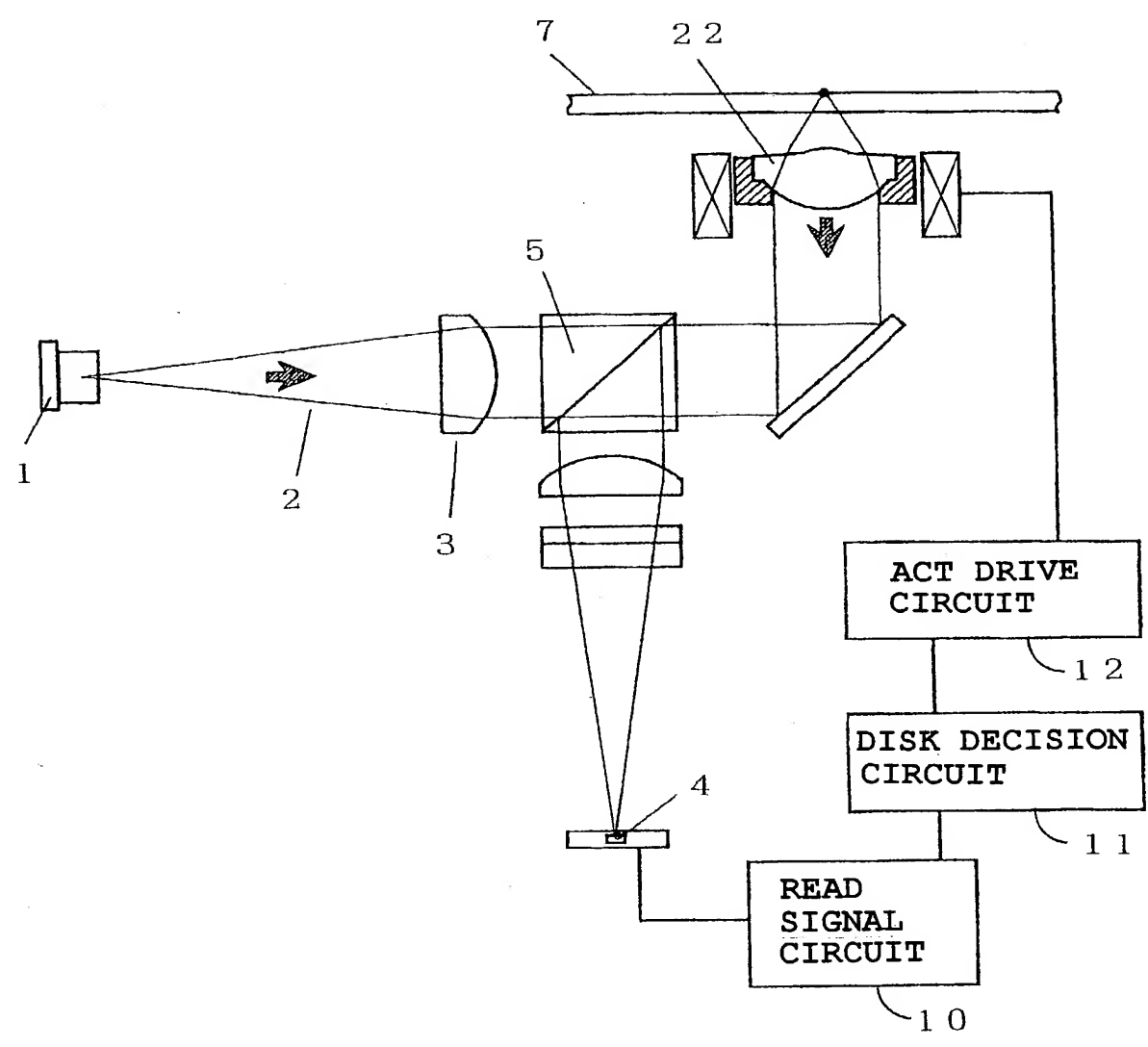


Fig. 14

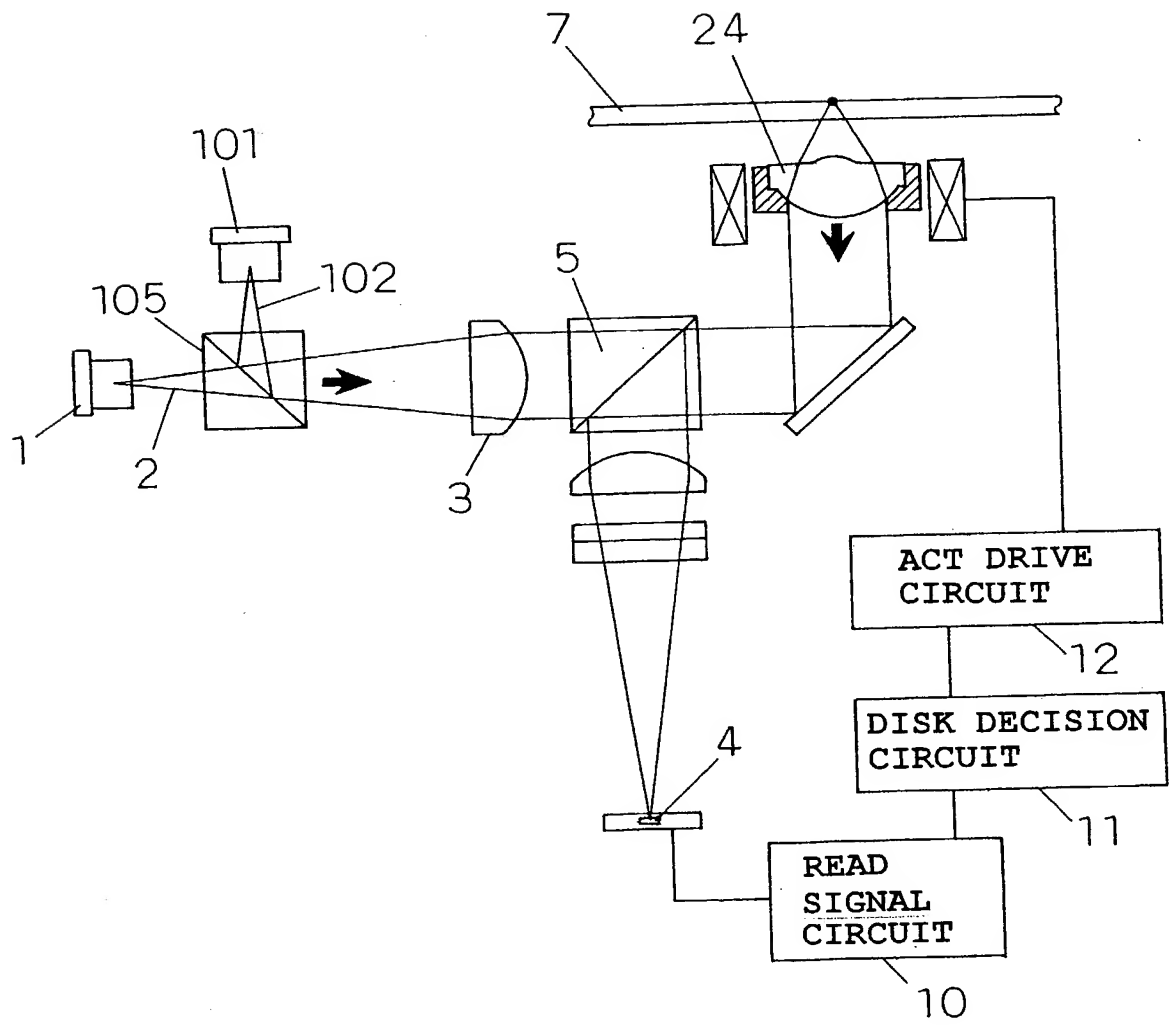


Fig. 15 (b)

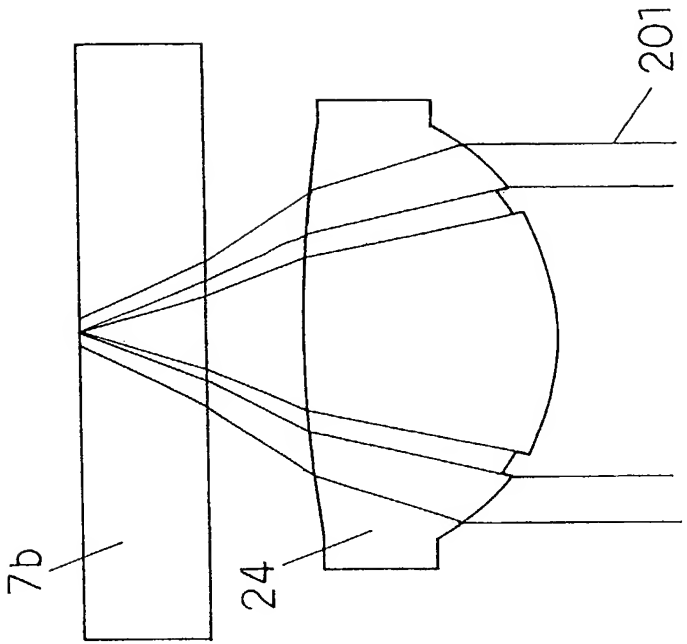


Fig. 15 (a)

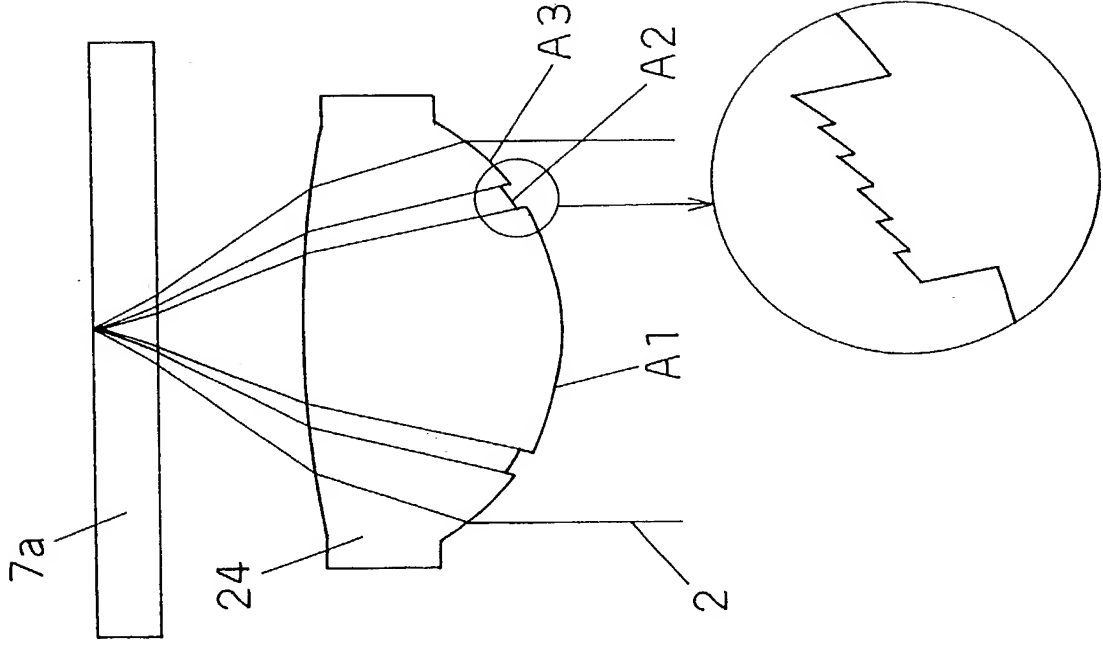


Fig. 16

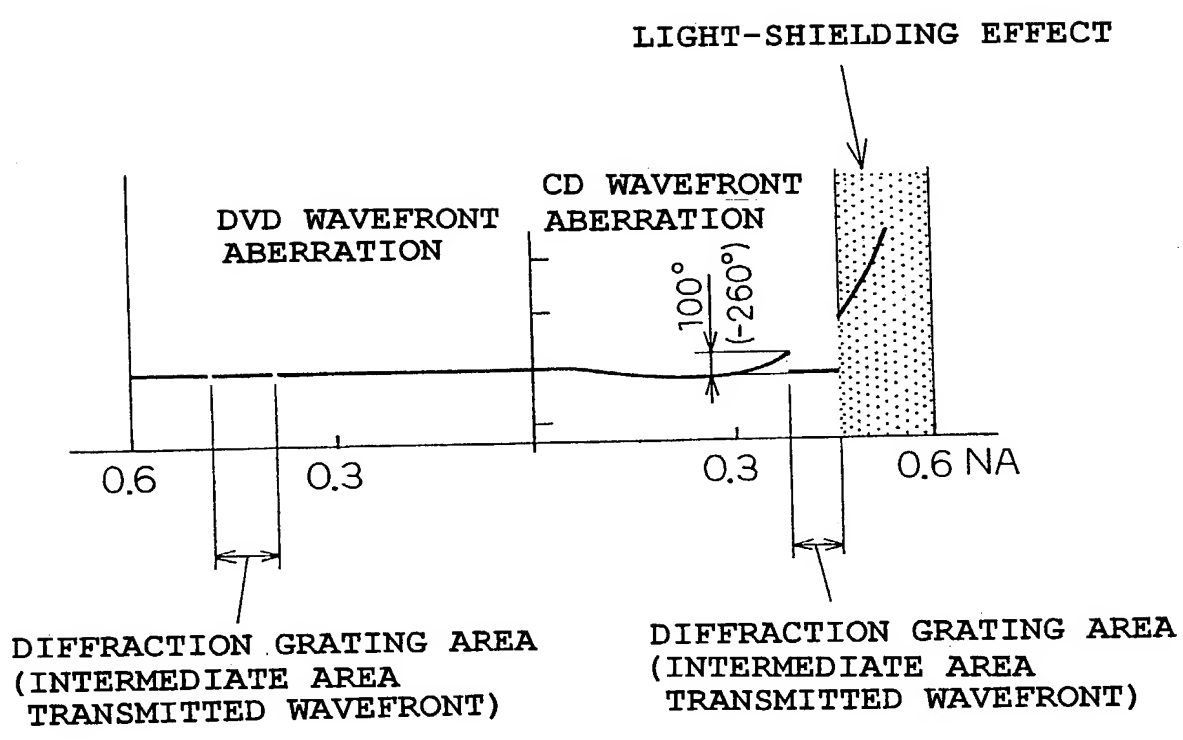


Fig. 17

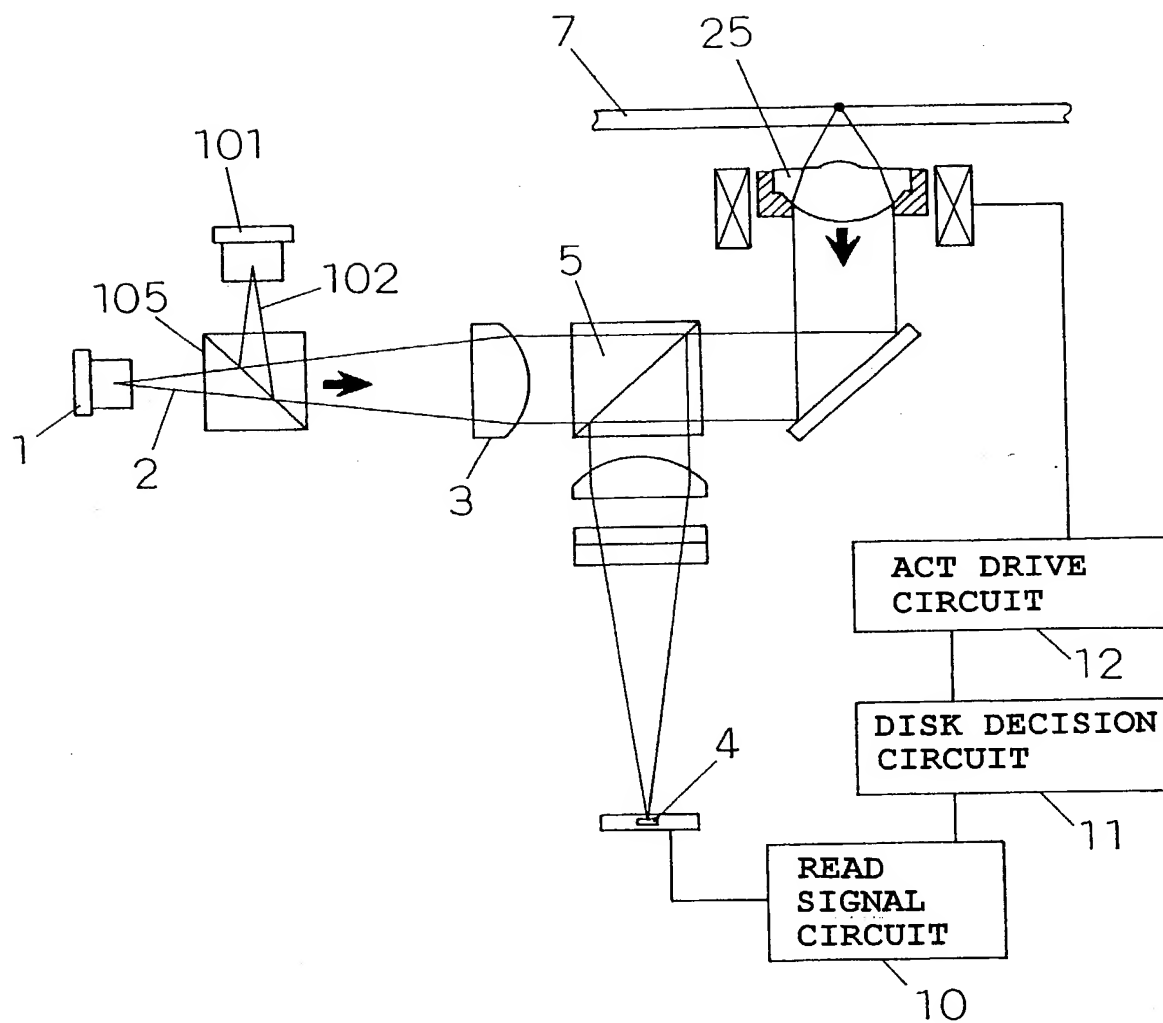


Fig. 18 (b)

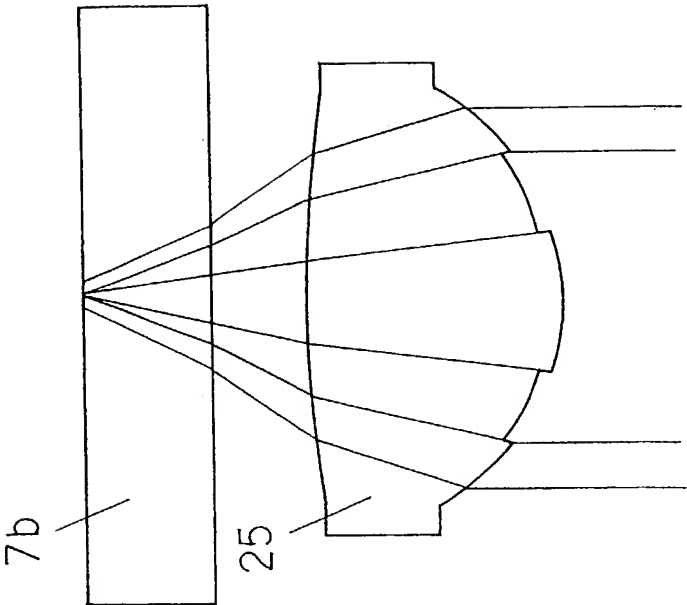
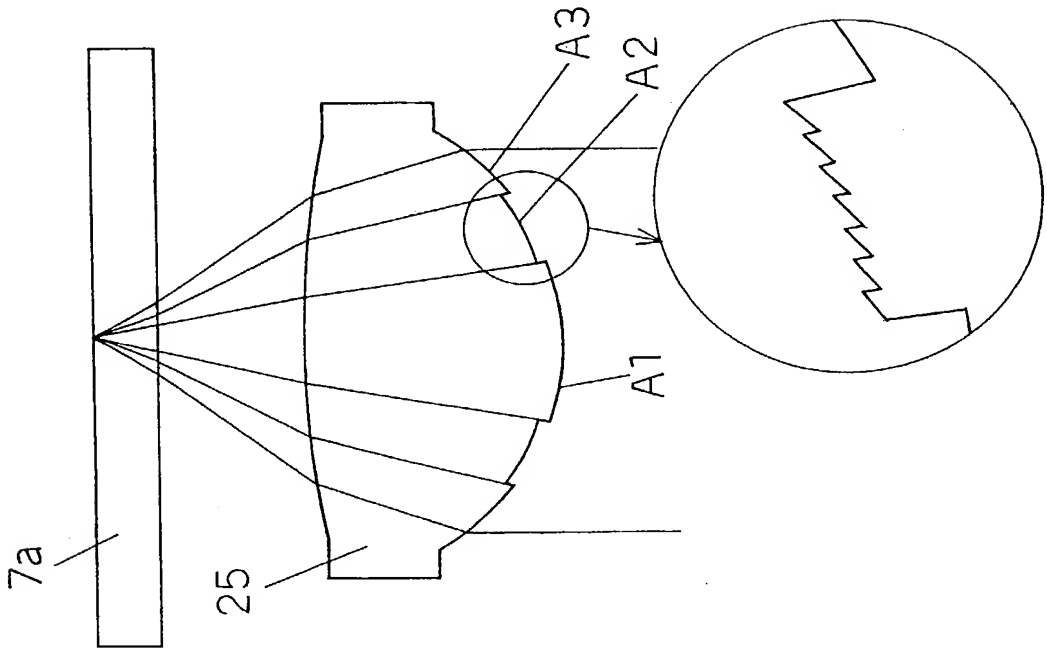


Fig. 18 (a)



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Fig. 19 (a)

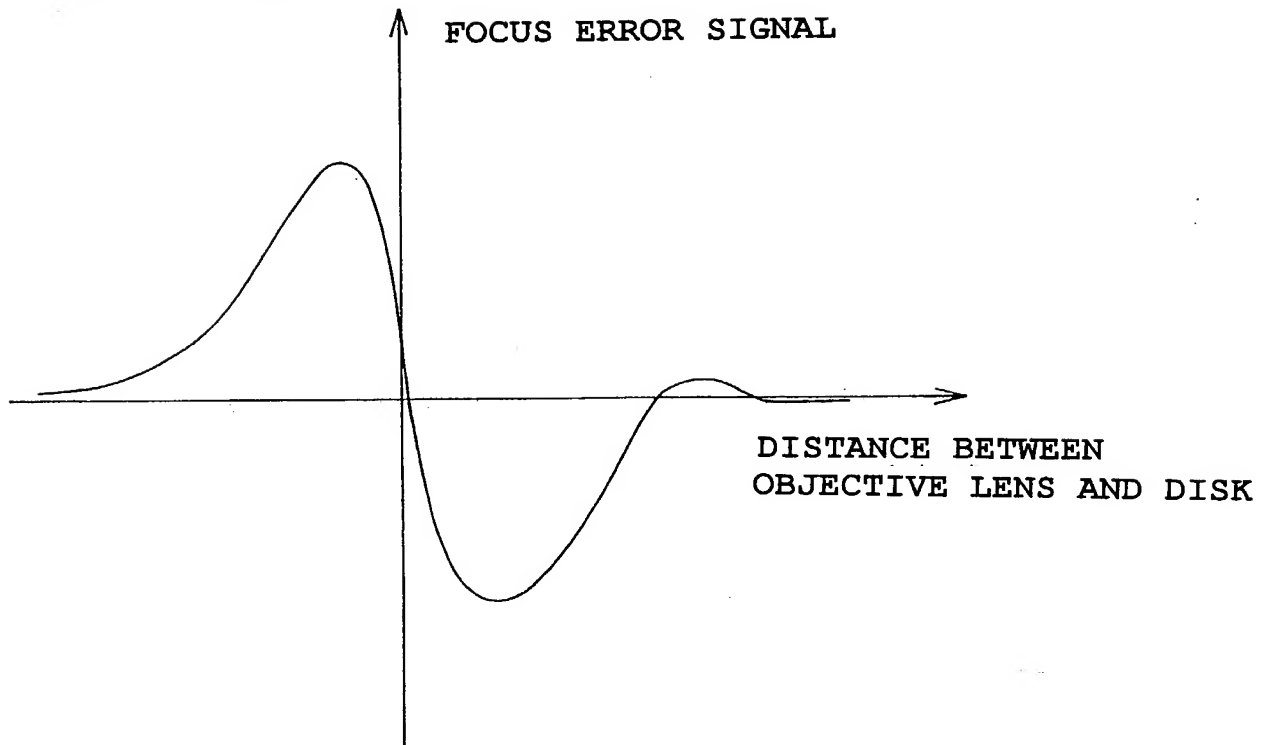


Fig. 19 (b)

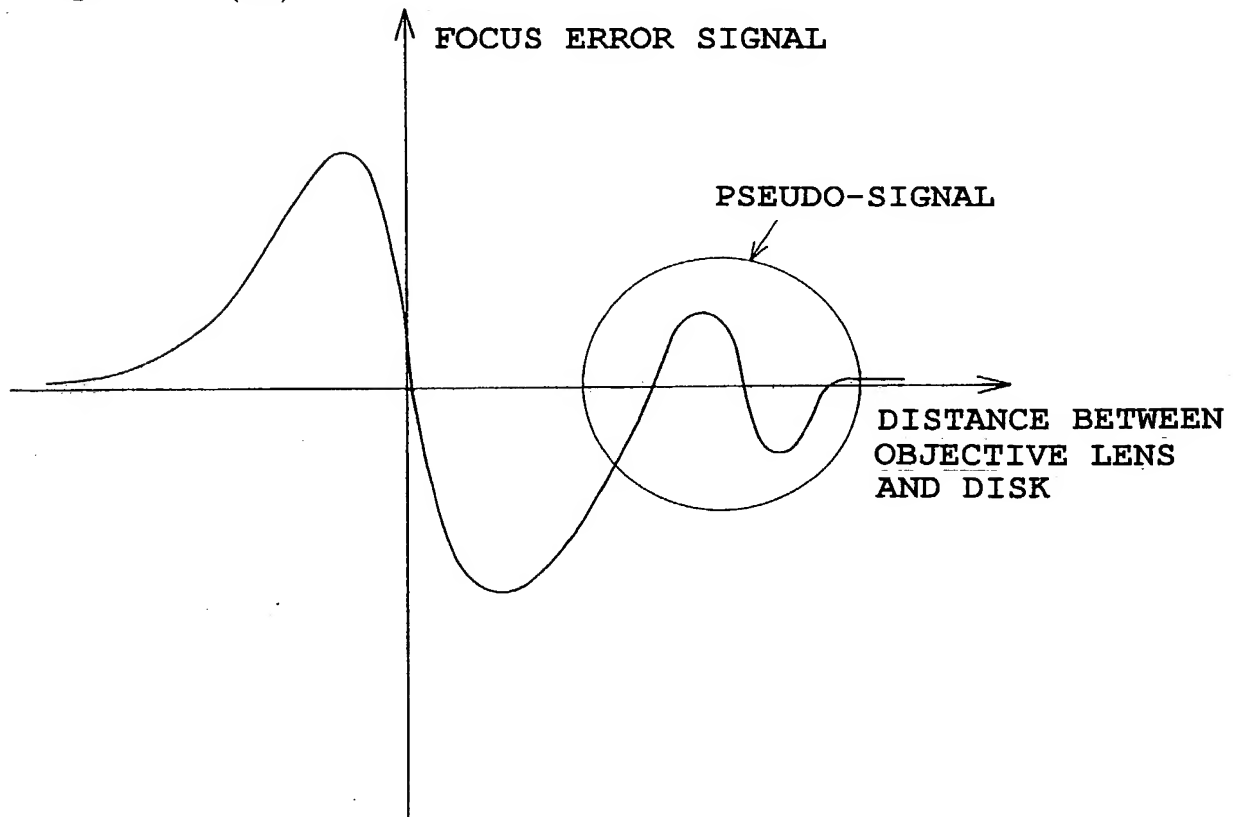


Fig. 20

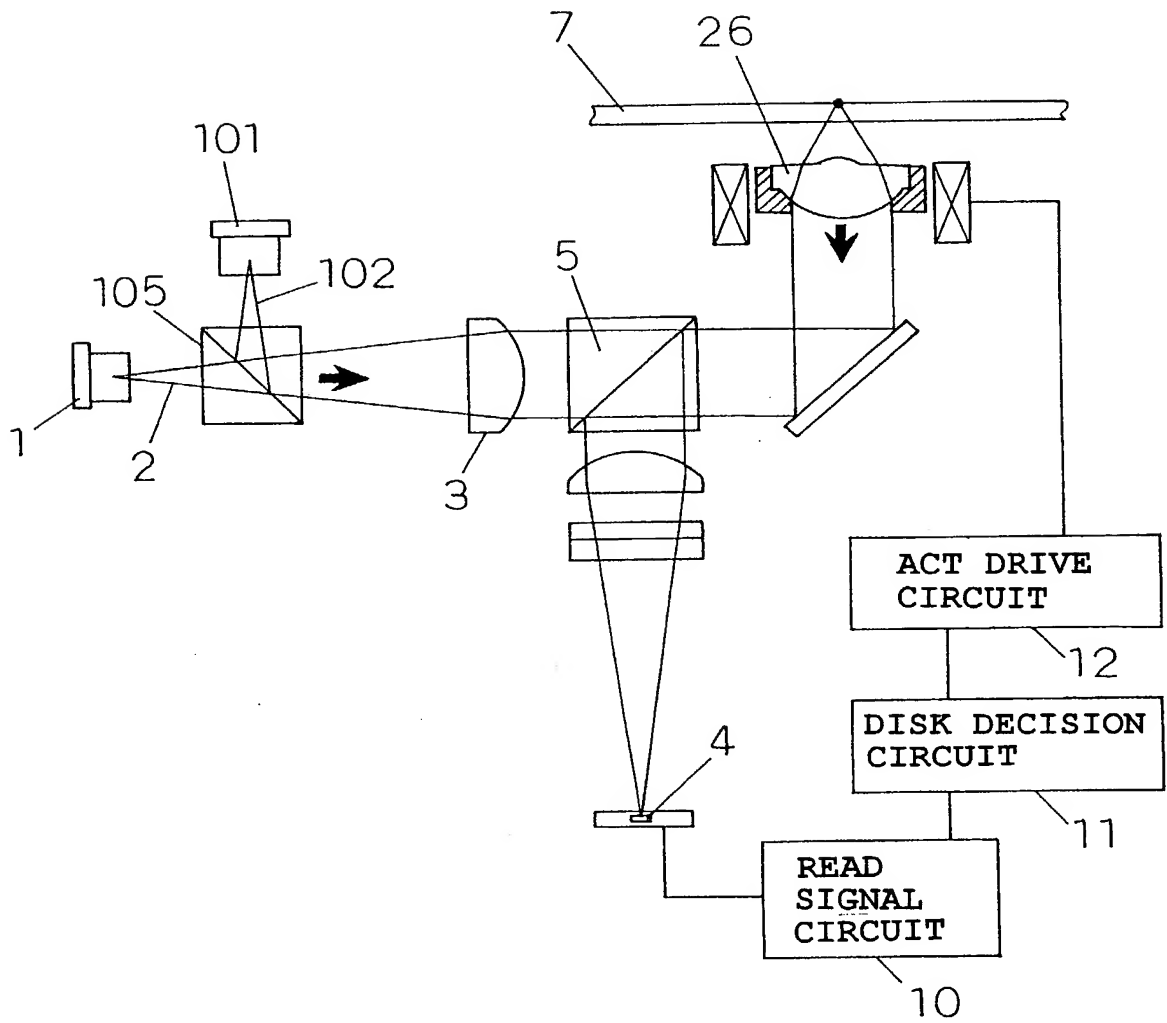


Fig. 21 (b)

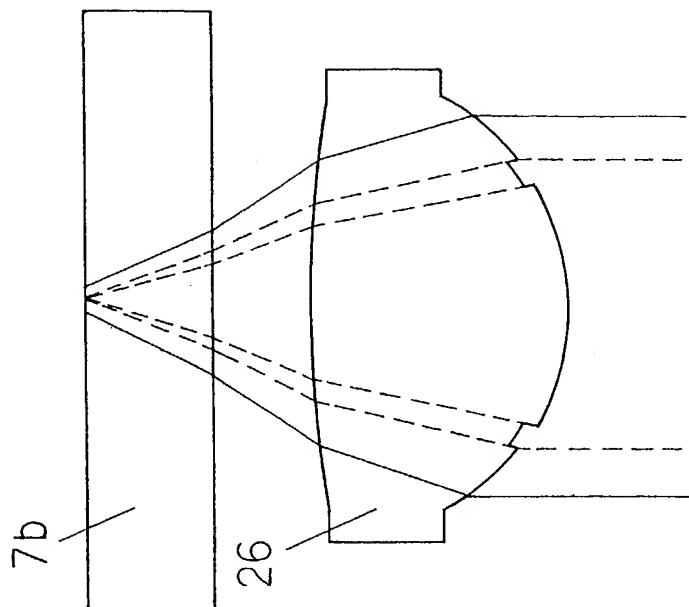


Fig. 21 (a)

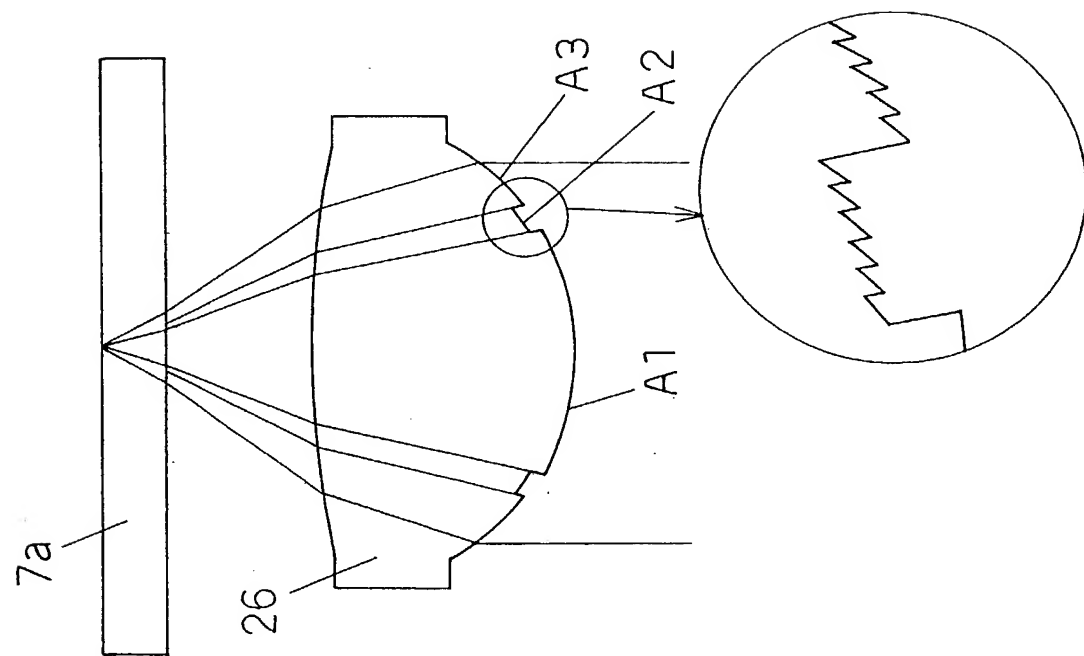
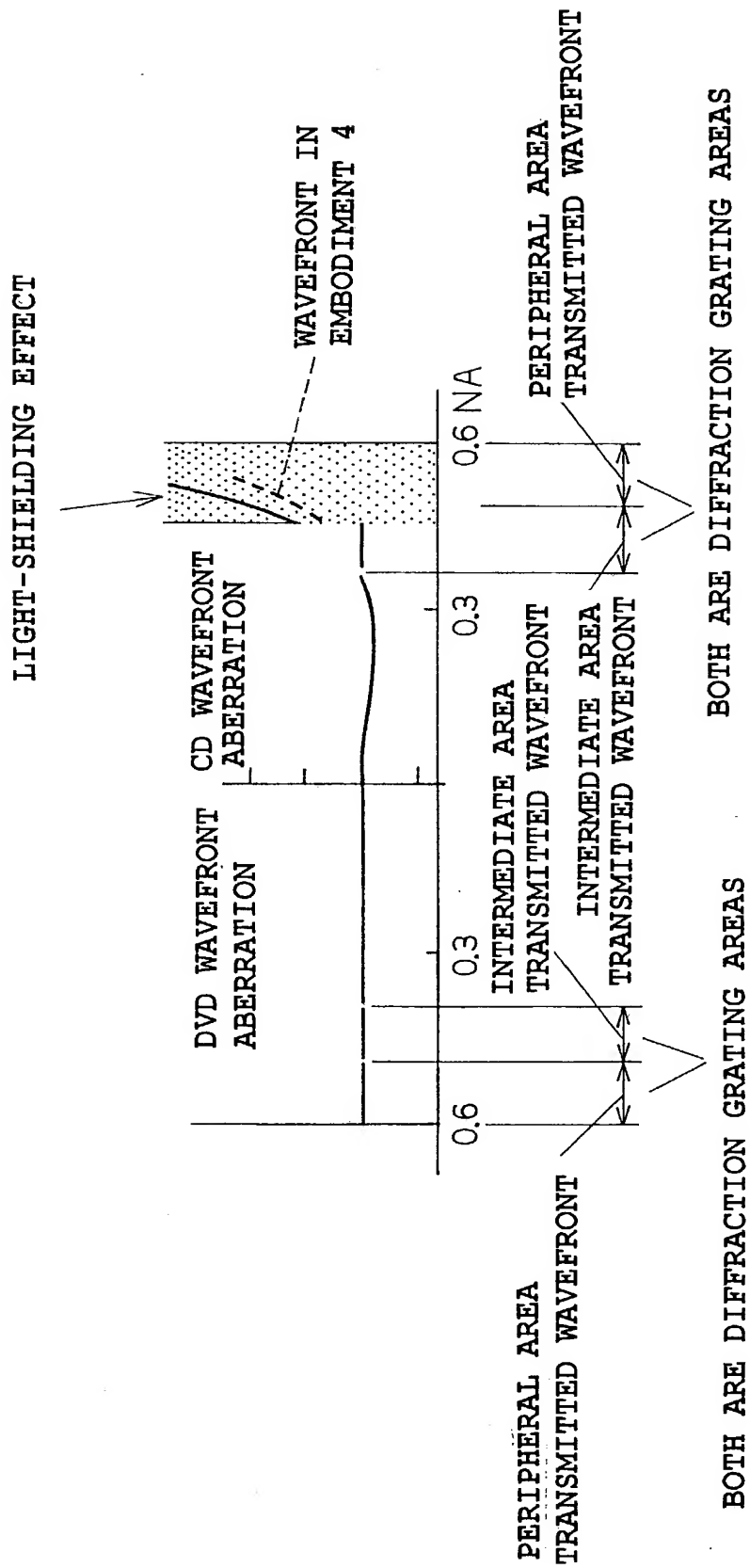


Fig. 22



Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled LENS, OPTICAL HEAD, OPTICAL INFORMATION WRITING/READING APPARATUS AND OPTICAL INFORMATION RECORDING MEDIUM WRITING/READING

the specification of which is attached hereto unless the following box is checked:

METHOD

☒ was filed on 05 October 2000 as

United States Application Number or PCT International Application Number PCT/JP00/06926
and was amended on April 5, 2002 by Preliminary Amendment (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Not Claimed

H11-285810

Japan

October 6, 1999

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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